

## Total domination versus triad domination

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Dedicated to Odile Favaron

**Abstract:** A dominating set in a graph  $G$  is a set  $S$  of vertices of  $G$  such that every vertex in  $V(G) \setminus S$  is adjacent to a vertex in  $S$ . A total dominating set in  $G$  is a dominating set  $S$  with the additional property that the subgraph  $G[S]$  induced by  $S$  is isolate-free. A triad dominating set  $S$  (also called a 3-component dominating set in the literature) is a dominating set in which every component in  $G[S]$  has order at least 3. The triad domination number, denoted  $\gamma_{td}(G)$ , of  $G$  is the minimum cardinality among all triad dominating sets of  $G$ . We observe that  $\gamma(G) \leq \gamma_t(G) \leq \gamma_{td}(G)$ , where  $\gamma(G)$  is the domination number of  $G$  and  $\gamma_t(G)$  is the total domination number of  $G$ . We show that the ratio  $\frac{\gamma_{td}(G)}{\gamma_t(G)}$  is at most  $\frac{3}{2}$ . We establish properties of the graphs  $G$  satisfying  $\gamma_{td}(G) = \frac{3}{2}\gamma_t(G)$  and characterize the trees achieving this equality.

**Keywords:** domination, total domination, triad domination, 3-component domination.

**AMS Subject Classification:** 05C69

### 1. Introduction

Triad domination, also called 3-component domination in the literature, is a robust form of domination that requires the components induced by a dominating set to have order at least 3. Dominating sets inducing large components have been studied in [2, 4, 5, 9, 11, 12], for example. We begin with some basic terminology.

For a set  $S$  of vertices in a graph  $G$ , we denote the *subgraph induced by  $S$*  by  $G[S]$ . A *component* of a graph  $G$  is a maximal connected subgraph of  $G$ . A *dominating set* in

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a graph  $G$  is a set  $S$  of vertices of  $G$  such that every vertex in  $V(G) \setminus S$  has a neighbor in  $S$ , where two vertices are neighbors if they are adjacent. The *domination number* of a graph  $G$ , denoted  $\gamma(G)$ , is the minimum cardinality among all dominating sets of  $G$ . A *total dominating set*, abbreviated *TD-set*, in  $G$  is a dominating set  $S$  of  $G$  with the additional property that  $G[S]$  is isolate-free, that is, the components of  $G[S]$  have cardinality at least 2. The *total domination number* of  $G$ , denoted  $\gamma_t(G)$ , is the minimum cardinality among all total dominating sets of  $G$ , and a total dominating set of cardinality  $\gamma_t(G)$  is called a  $\gamma_t$ -set of  $G$ . A thorough treatment of domination in graphs and its variants can be found in the books [6–8, 10].

For  $k \geq 1$  an integer, a dominating set  $S$  is called a *k-component dominating set* if every component in  $G[S]$  has order at least  $k$ . The *k-component domination number*, denoted  $\gamma_k(G)$ , is the minimum cardinality among all  $k$ -component dominating sets of  $G$ , and such a set with minimum cardinality is called a  $\gamma_k$ -set of  $G$ . Since the vertex set of any connected graph  $G$  of order  $n \geq k$  is a  $k$ -component dominating set of  $G$ , the  $k$ -component domination number is well-defined for such graphs and  $k \leq \gamma_k(G) \leq n$ . This concept of component domination was introduced in 2016 by Alvarado, Dantas, and Rautenbach [1]. We note that for  $k = 1$  and  $k = 2$ , the  $k$ -component domination numbers are the domination number and the total domination number, respectively, and we state this formally as follows.

**Observation 1.** The following properties hold in a connected graph  $G$  of order  $n \geq k$ .

- (a)  $\gamma_1(G) = \gamma(G)$ .
- (b) If  $n \geq 2$ , then  $\gamma_2(G) = \gamma_t(G)$ .
- (c) If  $n \geq k \geq 2$ , then  $\gamma_{k-1}(G) \leq \gamma_k(G) \leq n$ .

### 1.1. Triad domination

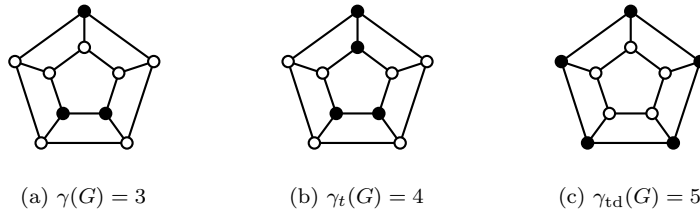
Among the  $k$ -component domination parameters, the 3-component domination number stands out as especially compelling due to the foundational roles of the 1-component domination and 2-component domination numbers. Hence, we find 3-component domination interesting in its own right and in this special case we coin the term *triad dominating set* for a 3-component dominating set and we denote the *triad domination number* of  $G$  by  $\gamma_{td}(G)$  rather than  $\gamma_3(G)$ .

The shift from “ $k$ -component domination” to “triad domination” for the special case of  $k = 3$  sets this parameter apart and aligns the notation more closely with the standard notation used for the total domination number. We remark that the notation  $\gamma_k(G)$  for the  $k$ -component domination number is also used in the literature for multiple domination (where a vertex not in the dominating set  $S$  is dominated by at least  $k$  vertices in  $S$ ) and is used for distance domination (where a vertex not in the dominating set  $S$  is within distance  $k$  from at least one vertex in  $S$ ). Thus, another motivation for the proposed notation change is to avoid confusion with other parameters. By Observation 1, we have the following inequality chain.

**Observation 2.** If  $G$  is a connected graph of order at least 3, then

$$\gamma(G) \leq \gamma_t(G) \leq \gamma_{td}(G). \tag{1.1}$$

We note that the inequalities in Inequality (1) may be strict. For example, if  $G$  is the 5-prism  $G = C_5 \square K_2$  illustrated in Figure 1, then  $\gamma(G) = 3$ ,  $\gamma_t(G) = 4$ , and  $\gamma_{td}(G) = 5$ , where a  $\gamma$ -set is indicated by the shaded vertices in Figure 1(a), a  $\gamma_t$ -set is indicated by the shaded vertices in Figure 1(b), and a  $\gamma_{td}$ -set is indicated by the shaded vertices in Figure 1(c).



**Figure 1.** The 5-prism  $G = C_5 \square K_2$

### 1.2. Graph theory notation

For graph theory notation and terminology, we generally follow [8]. Specifically, let  $G$  be a graph with vertex set  $V(G)$  and edge set  $E(G)$ , and of order  $n = |V(G)|$ . The *open neighborhood* of a vertex  $v$  in  $G$  is  $N_G(v) = \{u \in V(G) : uv \in E(G)\}$  and the *closed neighborhood* of  $v$  is  $N_G[v] = \{v\} \cup N_G(v)$ . We denote the *degree* of a vertex  $v$  in  $G$  by  $\deg_G(v)$ . An *isolated vertex* is a vertex of degree 0, while a vertex of degree 1 is called a *leaf*. The (unique) neighbor of a leaf is a *support vertex*. We denote a cycle on  $n$  vertices by  $C_n$ . For a set  $S$  of vertices in a graph  $G$  and a vertex  $v \in S$ , the set

$$\text{epn}_G(v, S) = \{u \in V(G) \setminus S : N(u) \cap S = \{v\}\}$$

is called the set of  *$S$ -external private neighbors* of  $v$  with respect to  $S$ . Thus, a vertex  $u \in V(G)$  is an  *$S$ -external private neighbor* of  $v \in S$  if  $u \in V(G) \setminus S$  and the only neighbor of  $u$  in  $S$  is  $v$ . For an integer  $k \geq 1$ , we use the standard notation  $i \in [k]$  to mean that  $i$  is an integer and  $1 \leq i \leq k$ .

By a *partition* of a set  $S$ , we mean a family  $\pi = \{S_1, \dots, S_q\}$  of nonempty pairwise disjoint sets whose union equals  $S$ , that is, for all  $i$  and  $j$  with  $1 \leq i < j \leq q$ , we have  $S_i \cap S_j = \emptyset$  and the union of the sets  $S_i$  over all  $i \in [q]$  is the set  $S$ , that is,

$$S = \bigcup_{i=1}^q S_i.$$

The *distance*  $d_G(u, v)$ , between two vertices  $u$  and  $v$  in a connected graph  $G$  is the minimum length among all  $u, v$ -paths in  $G$ . A *packing* in a graph  $G$  is a set  $P$  of vertices whose closed neighborhoods are pairwise disjoint; that is,  $d_G(u, v) \geq 3$  for every two distinct vertices  $u, v \in P$ .

If  $S$  is a set of vertices in a graph  $G$  and  $v \in V(G)$ , then we define the *distance* from  $v$  to  $S$ , denoted  $d_G(v, S)$ , as the minimum distance in  $G$  from  $v$  to a vertex in  $S$ , that is,

$$d_G(v, S) = \min_{u \in S} d_G(u, v).$$

Moreover, if  $X$  and  $Y$  are two subsets of vertices in  $G$ , then we define the *distance* between  $X$  and  $Y$ , denoted  $d_G(X, Y)$ , as the minimum distance between a vertex in  $X$  and a vertex in  $Y$ , that is,

$$d_G(X, Y) = \min_{x \in X, y \in Y} d_G(x, y).$$

We say that a component of a graph is *k-large* if it has order at least  $k$  and *k-small* otherwise. We simply say large and small, dropping the  $k$ , if  $k$  is understood from the context. A *connected dominating set* in  $G$  is a dominating set  $S$  of  $G$  with the additional property that  $G[S]$  is connected, and the *connected domination number* of a graph  $G$ , denoted  $\gamma_c(G)$ , is the minimum cardinality among all connected dominating sets of  $G$ .

## 2. Background and discussion of results

In [9], the authors established an upper bound on the connected domination number of a graph in terms of its  $k$ -component domination number for all  $k \geq 1$ .

**Theorem 3.** ([9]) *For  $k \geq 1$  if  $G$  is a connected graph of order at least  $k$ , then*

$$\gamma_c(G) \leq \left( \frac{k+2}{k} \right) \gamma_k(G) - 2.$$

The following bound, due to Favaron and Kratsch [3] in 1991, is a corollary to Theorem 3.

**Theorem 4.** ([3]) *If  $G$  is a connected graph of order at least 2, then  $\gamma_c(G) \leq 2\gamma_t(G) - 2$ .*

Using Theorem 4, we have the following upper bound on the  $k$ -component domination number in terms of the total domination number.

**Theorem 5.** ([9]) *For  $k \geq 3$  if  $G$  is a connected graph of order at least  $k$ , then*

$$\gamma_k(G) \leq \max\{2\gamma_t(G) - 2, k\}.$$

In Section 3, we will prove the following for a connected graph  $G$  of order  $n \geq k \geq 3$ .

**Theorem 6.** *If  $G$  is a connected graph of order  $n \geq k \geq 3$ , then  $\gamma_k(G) \leq \frac{k}{2}\gamma_t(G)$ .*

Comparing the upper bounds of  $2\gamma_t(G) - 2$  and  $\frac{k}{2}\gamma_t(G)$ , we note that the bound of Theorem 5 is better than the bound Theorem 6 for  $k \geq 4$ ; while for  $k = 3$  and  $\gamma_t(G) \geq 4$ , the bound in Theorem 6 is the better choice.

We show that the only possibilities for sharpness of the bound in Theorem 6 are if  $\gamma_t(G) = 2$  or if  $k = 3$ . In fact, if  $G$  is a graph of order  $n \geq k$  and  $\gamma_t(G) = 2$ , then  $\gamma_k(G) = k$ , achieving the bound of  $\frac{k}{2}\gamma_t(G)$  for all  $k \geq 3$ . Thus, we turn our attention to  $k = 3$  (triad domination) and consider the ratio

$$\frac{\gamma_{td}(G)}{\gamma_t(G)} \leq \frac{3}{2}$$

in Section 4, where we present properties of graphs achieving this ratio. Finally, in Section 5, we characterize the extremal trees.

### 3. Proof of Theorem 6

We now present a proof to Theorem 6. Recall its statement.

**Theorem 6** *If  $G$  is a connected graph of order  $n \geq k \geq 3$ , then*

$$\gamma_k(G) \leq \frac{k}{2}\gamma_t(G).$$

*Proof.* Let  $G$  be a connected graph of order  $n \geq k \geq 3$ , and let  $S$  be a  $\gamma_t$ -set of  $G$ . If every component of  $G[S]$  is a  $k$ -large component, then  $S$  is a  $k$ -component dominating set and  $\gamma_t(G) \leq \gamma_k(G) \leq |S| = \gamma_t(G)$ , implying that  $k \leq \gamma_k(G) = \gamma_t(G) < \frac{k}{2}\gamma_t(G)$ . Hence, we may assume that  $G[S]$  has at least one  $k$ -small component.

If  $G[S]$  is connected, that is,  $G[S]$  has exactly one component, then  $G[S]$  is a small component and adding exactly  $k - |S|$  vertices of  $V(G) \setminus S$  to  $S$  creates a  $k$ -component dominating set of cardinality  $k$ . Thus,  $k \leq \gamma_k(G) \leq |S| + (k - |S|) = k \leq \frac{k}{2}\gamma_t(G)$  since  $\gamma_t(G) \geq 2$ . Therefore, we assume that  $G[S]$  is not connected. Let  $G[S]$  have  $q \geq 2$  components, and let  $G_1, G_2, \dots, G_q$  be the components of  $G[S]$ . Let  $S_i = V(G_i)$  for  $i \in [q]$ , and so

$$S = \bigcup_{i=1}^q S_i.$$

We note that  $|S_i| \geq 2$  for  $i \in [q]$  since  $S$  is a TD-set of  $G$ . It follows that  $\gamma_t(G) \geq 4$  and  $q \leq \lfloor \frac{1}{2}|S| \rfloor$ . Relabeling the components of  $G[S]$  if necessary, we assume that  $G_1$  is a  $k$ -small component, that is,  $2 \leq |S_1| < k$ . Since  $G$  is connected and  $S$  is a

TD-set, we note that  $d_G(S_1, S \setminus S_1) \leq 3$ . Hence, there exists a component, say  $G_2$ , in  $G[S]$  such that  $d_G(S_1, S_2) \leq 3$ . Let  $u$  be a vertex of  $G_1$  and  $v$  be a vertex of  $G_2$  for which  $d_G(u, v) \leq 3$ . Note that  $G_2$  may be a  $k$ -large component. Let  $I$  be the internal vertices on a shortest  $u, v$ -path. Thus,  $I \subseteq V(G) \setminus S$  and  $1 \leq |I| \leq 2$ .

We first add the vertices of  $I$  to  $S$  creating a component  $G^*$  having  $r \geq |S_1| + |S_2| + |I| \geq 5$  vertices. If  $k \leq r$ , then no additional vertices are needed for  $G^*$  to be a  $k$ -large component. In this case, we have added at most two vertices. If  $k > r$ , then after the addition of the vertices of  $I$ , at most  $k - r \leq k - 5$  additional vertices are needed to build a  $k$ -large component containing  $G^*$  as a subgraph. Hence, we can create a  $k$ -large component supergraph of  $G_1 \cup G_2$  by adding at most two vertices for  $3 \leq k \leq 5$  and adding at most  $2 + k - 5 = k - 3$  vertices to  $S$  for  $k \geq 6$ .

Now we consider the remaining, if any,  $k$ -small components of  $G[S]$ . Since the newly created  $k$ -large component contains  $G_1 \cup G_2$  as a subgraph and  $|S_1| + |S_2| \geq 4$ , there are at most  $\frac{1}{2}(|S| - 4)$  such remaining components. We note that since  $G$  is connected,  $S$  is a TD-set, and  $n \geq k$ , it is possible to create a  $k$ -large component containing any remaining  $k$ -small component  $G_i$  in  $G[S]$  as a subgraph by adding to  $S$  at most  $k - |S_i| \leq k - 2$  vertices of  $V(G) \setminus S$ . By our previous comments, there are at most  $\frac{1}{2}(|S| - 4)$  of these components. Thus, for  $3 \leq k \leq 5$ , we have

$$\begin{aligned} \gamma_k(G) &\leq |S| + 2 + \frac{1}{2}(|S| - 4)(k - 2) \\ &= \frac{k}{2}|S| - 2k + 6 \\ &= \frac{k}{2}\gamma_t(G) - 2k + 6 \\ &\leq \frac{k}{2}\gamma_t(G). \end{aligned}$$

For  $k \geq 6$ , we have

$$\begin{aligned} \gamma_k(G) &\leq |S| + (k - 3) + \frac{1}{2}(|S| - 4)(k - 2) \\ &= \frac{k}{2}|S| - k + 1 \\ &= \frac{k}{2}\gamma_t(G) - k + 1 \\ &< \frac{k}{2}\gamma_t(G). \end{aligned}$$

This concludes the proof of Theorem 6. □

By Theorem 6, if  $G$  is a connected graph of order  $n \geq k \geq 3$ , the following ratio holds:

$$\frac{\gamma_k(G)}{\gamma_t(G)} \leq \frac{k}{2}. \tag{3.1}$$

From the proof of Theorem 6, we deduce that equality is only possible if  $\gamma_t(G) = 2$  or  $k = 3$ . As we noted in Section 2, tightness occurs for all  $k$  if  $\gamma_t(G) = 2$ . Henceforth, we restrict our attention to the case when  $k = 3$ , that is, we consider the ratio of the triad domination number and the total domination number.

### 4. Properties of extremal graphs

Let  $G$  be a connected graph of order  $n \geq 3$ . In the case of  $k = 3$ , Theorem 6 states that

$$\gamma_{td}(G) \leq \frac{3}{2}\gamma_t(G). \tag{4.1}$$

Next we consider properties of graphs attaining the upper bound in Inequality (4.1). We note that if  $\gamma_t(G) = 3$ , then every  $\gamma_t$ -set of  $G$  is also a  $\gamma_{td}$ -set of  $G$  and  $\gamma_{td}(G) = \gamma_t(G) = 3 = k$ .

**Theorem 7.** *Let  $G$  be a connected graph of order  $n \geq 3$  with  $\gamma_t(G) \geq 3$ . If  $\gamma_{td}(G) = \frac{3}{2}\gamma_t(G)$ , then for every  $\gamma_t$ -set  $S$  of  $G$ , the following properties hold:*

- (a)  $G[S] = qK_2$ , where  $q = \frac{1}{2}\gamma_t(G)$ .
- (b) Every independent subset of  $S$  is a packing in  $G$ .
- (c) Every vertex in  $S$  has an  $S$ -external private neighbor.

*Proof.* Let  $G$  be a connected graph of order  $n \geq 3$  with  $\gamma_t(G) \geq 3$  satisfying  $\gamma_{td}(G) = \frac{3}{2}\gamma_t(G)$ . Let  $S$  be an arbitrary  $\gamma_t$ -set of  $G$ . If  $G[S]$  is connected, then  $S$  is a triad dominating set, and so  $\gamma_{td}(G) \leq |S| = \gamma_t(G) < \frac{3}{2}\gamma_t(G)$ , a contradiction. Hence,  $G[S]$  has at least two components. Note that since  $S$  is a TD-set of  $G$ , every component of  $G[S]$  has cardinality at least 2, implying that  $\gamma_t(G) \geq 4$  and every small component of  $G[S]$  is a  $K_2$ -component. Let  $G_1, G_2, \dots, G_q$  denote the components of  $G[S]$ , where  $q \geq 2$ . Let  $S_i = V(G_i)$  for  $i \in [q]$ .

We proceed further by proving three claims, the first of which shows that every component of  $G[S]$  is a small component. Since we are considering the triad domination number, in what follows we simply refer to a 3-large component of  $G[S]$  (of order at least 3) as a large component and a 3-small component of  $G[S]$  (of order 2) as a small component.

**Claim 1.**  $G[S] = qK_2$ , where  $q = \frac{1}{2}\gamma_t(G)$ .

*Proof.* Suppose, to the contrary, that  $G[S]$  has at least one large component, say  $G_q$ , with vertex set  $S_q$ . Thus,  $|S_q| \geq 3$ . If every component of  $G[S]$  is a large component, then  $S$  is a triad dominating set, implying that  $\gamma_{td}(G) = \gamma_t(G) < \frac{3}{2}\gamma_t(G)$ , a contradiction. Hence,  $G[S]$  has at least one small component. Renaming components if necessary, let  $G_1, \dots, G_r$  denote the small components of  $G[S]$  where  $r \geq 1$ , and so  $G_{r+1}, \dots, G_q$  denote the large components of  $G[S]$ . Thus,  $G_i = K_2$  for all  $i \in [r]$ . Let  $S_i = \{u_i, v_i\}$  for  $i \in [q]$ . We note that

$$r \leq \frac{1}{2}(|S| - |S_q|) \leq \frac{1}{2}(|S| - 3) = \frac{1}{2}(\gamma_t(G) - 3). \tag{4.2}$$

The connectivity of  $G$  implies that for each  $i \in [r]$ , at least one of  $u_i$  and  $v_i$  has a neighbor  $x_i$  in  $V(G) \setminus S$ . Letting

$$X = \bigcup_{i=1}^r \{x_i\},$$

the set  $S \cup X$  is a triad dominating set of  $G$ , implying by Inequality (4.2) that

$$\gamma_{td}(G) \leq |S| + |X| \leq \gamma_t(G) + r \leq \gamma_t(G) + \frac{1}{2}(\gamma_t(G) - 3) < \frac{3}{2}\gamma_t(G),$$

a contradiction. We conclude that every component of  $G[S]$  is small, that is,  $G[S] = qK_2$ , where  $q = \frac{1}{2}\gamma_t(G)$ . □

**Claim 2.** *If  $S' \subseteq S$  is an independent set, then  $S'$  is a packing in  $G$ .*

*Proof.* Let  $S'$  be an independent subset of  $S$ . Claim 1 implies that every two vertices in  $S'$  belong to different small components of  $G[S]$ . Suppose, to the contrary, that  $S'$  is not a packing in  $G$ . Then there exists vertices  $u$  and  $v$  in  $S'$ , such that  $d_G(u, v) = 2$ . Let  $u'$  and  $v'$  be the neighbors of  $u$  and  $v$ , respectively, in  $G[S]$ . Let  $w \in V(G) \setminus S$  be a common neighbor of  $u$  and  $v$  in  $G$ . Now,  $G[S \cup \{w\}]$  contains a large component containing  $u, u', v$ , and  $v'$ . As before, at most one vertex needs to be added to every remaining small component to create a triad dominating set from  $S$ , and there are at most  $\frac{1}{2}(|S| - 4)$  such components. Thus,

$$\gamma_{td}(G) \leq |S| + 1 + \frac{1}{2}(|S| - 4) = \frac{3}{2}|S| - 1 = \frac{3}{2}\gamma_t(G) - 1 < \frac{3}{2}\gamma_t(G),$$

a contradiction. Hence,  $S'$  is a packing in  $G$ . □

**Claim 3.** *Every vertex in  $S$  has an  $S$ -external private neighbor.*

*Proof.* Since  $\gamma_t(G) \geq 4$ , by Claim 1, we have that  $G[S]$  consists of  $q \geq 2$  components and each component is a  $K_2$ -component. Recall that  $G_1, G_2, \dots, G_q$  denote the components of  $G[S]$  and recall that  $S_i = V(G_i)$  for  $i \in [q]$ . Let  $S_i = \{u_i, v_i\}$  for each  $i \in [q]$ . Since  $G$  is connected and  $S$  is a TD-set, we note that  $d_G(S_i, S \setminus S_i) \leq 3$  for each  $i \in [q]$ . By Claim 2,  $d_G(S_i, S \setminus S_i) \geq 3$  for each  $i \in [q]$ . Thus, for each  $i \in [q]$ , there exists some  $j \in [q]$  where  $i \neq j$  such that  $d_G(S_i, S_j) = 3$ . Relabeling the vertices if necessary, we may assume that  $d_G(u_i, u_j) = 3$ . Let  $u_i x y u_j$  be a shortest  $u_i, u_j$ -path in  $G$ . We note that  $x, y \in V(G) \setminus S$ .

To complete the proof, it suffices to show that  $\text{epn}(u_i, S) \neq \emptyset$  and  $\text{epn}(v_i, S) \neq \emptyset$ . If  $x \in \text{epn}(u_i, S)$ , then  $u_i$  has an  $S$ -external private neighbor, as desired. Thus, assume that  $x$  is not an  $S$ -external private neighbor of  $u_i$ , that is,  $x$  has a neighbor

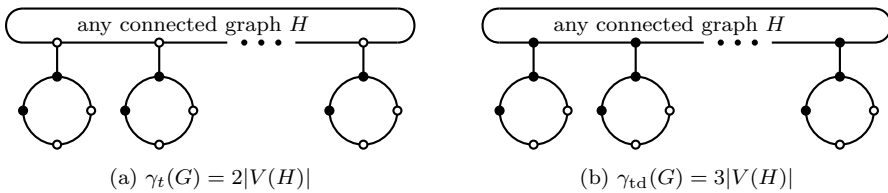
in  $S \setminus \{u_i\}$ . Claim 2 implies that the only possible neighbor of  $x$  in  $S$  is  $v_i$  (since no pair of nonadjacent vertices in  $S$  have a common neighbor). It follows that if  $u_i$  has no  $S$ -external private neighbor, then  $N[u_i] \subseteq N[v_i]$ . In particular,  $v_i x$  is an edge of  $G$ . But then we can create a large component containing  $u_i, v_i, u_j$ , and  $v_j$  by adding  $x$  and  $y$  to  $S$ . Further, after the addition of these two vertices to  $S$ , at most  $q - 2$  small components remain. Thus, we need to add at most  $q - 2 = \frac{1}{2}(|S| - 4)$  additional vertices to  $S \cup \{x, y\}$  to create a triad dominating set  $S'$ . But now the set  $S' \setminus \{u_i\}$  is also a triad dominating set, implying that  $\gamma_{td}(G) \leq |S' \setminus \{u_i\}| = |S'| - 1 \leq |S| - 1 + 2 + \frac{1}{2}(|S| - 4) = \frac{3}{2}|S| - 1 = \frac{3}{2}\gamma_t(G) - 1 < \frac{3}{2}\gamma_t(G)$ , a contradiction. It follows that  $\text{epn}(u_i, S) \neq \emptyset$ .

Finally, suppose that  $v_i$  has no  $S$ -external private neighbor. But then adding  $x$  and  $y$  to  $S \setminus \{v_i\}$  creates a large component containing  $u_i, u_j$ , and  $v_j$ . As before at most  $q - 2 = \frac{1}{2}(|S| - 4)$  vertices in addition to  $x$  and  $y$  are needed to build a triad dominating from  $S \setminus \{v_i\}$ . Again,  $\gamma_{td}(G) \leq |S \setminus \{v_i\}| + 2 + \frac{1}{2}(|S| - 4) = \frac{3}{2}\gamma_t(G) - 1 < \frac{3}{2}\gamma_t(G)$ , a contradiction. Thus,  $\text{epn}(v_i, S) \neq \emptyset$ . This property holds for all  $i \in [q]$ . We conclude that every vertex in  $S$  has an  $S$ -external private neighbor. □

This completes the proof of Theorem 7. □

We note that the only cycles achieving the bound of Theorem 6 are the cycles  $C_3, C_4$ , and  $C_8$ . To construct an example of an infinite family of graphs that attain the bound, let  $H$  be an arbitrary connected graph, and let  $G$  be obtained from  $H$  as follows: for each vertex  $v \in V(H)$ , add a vertex disjoint 4-cycle  $C_v$  and add the edge joining  $v$  to exactly one vertex of  $C_v$ . We call the subgraph of  $G$  induced by the set  $V(C_v) \cup \{v\}$  a *unit* of  $G$ , denoted  $G_v$ , and we call the graph  $H$  the *base graph* of  $G$ . Let  $\mathcal{G}$  denote the family of all such graphs  $G$ .

Every  $\gamma_t$ -set of a graph  $G$  in the family  $\mathcal{G}$  contains two vertices from the unit  $G_v$  for each  $v \in V(H)$ , and every  $\gamma_{td}$ -set of  $G$  contains three vertices from the unit  $G_v$  for each  $v \in V(H)$ . Thus,  $\gamma_{td}(G) = \frac{3}{2}\gamma_t(G)$ . An example of a graph  $G$  that belongs to the infinite family  $\mathcal{G}$  is illustrated in Figure 2, where the shaded vertices in Figure 2(a) indicate a  $\gamma_t$ -set of  $G$  and the shaded vertices in Figure 2(b) indicate a  $\gamma_{td}$ -set of  $G$ .



**Figure 2.** A graph  $G$  in the family  $\mathcal{G}$  with associated base graph  $H$

### 5. Extremal trees

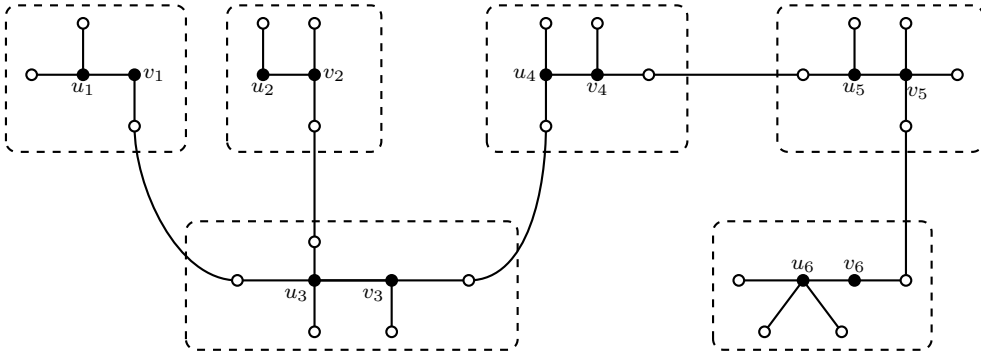
To give a characterization of the trees  $T$  for which  $\gamma_{td}(T) = \frac{3}{2}\gamma_t(T)$ , we need some additional terminology. A double star  $S(r, s)$ , for  $1 \leq r \leq s$ , is a tree with exactly two (adjacent) vertices that are not leaves, with one of these vertices having  $r$  leaf neighbors and the other  $s$  leaf neighbors. A support vertex with exactly one nonleaf neighbor is called a *terminal support vertex*.

For a positive integer  $q$ , let  $H_q$  be any forest consisting of the union of  $q$  double stars with centers labeled  $u_i$  and  $v_i$  for  $i \in [q]$ , and let  $L$  be the set of leaves of  $H_q$ . We note that two  $H_q$  forests need not be isomorphic, in particular, although both have  $q$  double stars, the number of leaves in  $L$  as well as the double star subgraphs may vary.

We define a family  $\mathcal{T}$  of trees as follows. A tree  $T_q$  is in  $\mathcal{T}$  if it can be obtained from a forest  $H_q$  by adding edges between vertices in  $L$  in such a way to ensure that  $T_q$  is connected, no cycle is formed, and at least one of the following holds for each  $u_i$  and  $v_i$  where  $i \in [q]$ .

- (a) both  $u_i$  and  $v_i$  are support vertices,
- (b) at least one of  $u_i$  and  $v_i$  is a terminal support vertex.

We refer to  $H_q$  as the *underlying forest* of  $T_q$ . Note that if  $T = T_q \in \mathcal{T}$ , then  $T$  has order at least 4. See Figure 3 for an example of a tree  $T$  in family  $\mathcal{T}$ , where the underlying forest  $H_6$  is given by the six double stars indicated by the dashed boxes and where the set  $L$  of leaves of  $H_6$  are indicated by the white vertices.



**Figure 3.** A tree in the family  $\mathcal{T}$

**Lemma 1.** *If  $T \in \mathcal{T}$ , then  $\gamma_{td}(T) = \frac{3}{2}\gamma_t(T)$ .*

*Proof.* Let  $T \in \mathcal{T}$ . Then, using the notation of the construction,  $T = T_q$  is obtained

from an underlying forest  $H_q$  of  $q \geq 1$  double stars with its centers labeled  $u_i$  and  $v_i$ , respectively, for  $i \in [q]$ . Recall that  $L$  is the set of leaves of  $H_q$ . We prove two claims.

**Claim 4.**  $\gamma_t(T) = 2q$ .

*Proof.* Note that  $\{u_i, v_i : i \in [q]\}$  is a TD-set of  $T$ , implying that  $\gamma_t(T) \leq 2q$ . Suppose, to the contrary, that  $\gamma_t(T) < 2q$ , and let  $S$  be a  $\gamma_t$ -set of  $T$ . Since  $\gamma_t(T) < 2q$ , it follows that there exists a double star in the underlying forest  $H_q$  having at most one vertex in  $S$ . Without loss of generality, assume that  $u_1 \notin S$ . Recall that every support vertex of  $T$  must be in  $S$ , and so  $u_1$  is not a support vertex of  $T$ . By the definition of  $\mathcal{T}$ , we have that  $v_1$  must be a terminal support vertex with  $u_1$  as its only nonleaf neighbor, and so  $v_1 \in S$ . But then  $v_1$  must have a neighbor in  $S$ . Thus, a leaf neighbor of  $v_1$  is in  $S$ , contradicting the fact that at most one vertex from this underlying double star subgraph is in  $S$ . Hence,  $\gamma_t(T) \geq 2q$ . As observed earlier,  $\gamma_t(T) \leq 2q$ . Consequently,  $\gamma_t(T) = 2q$ .  $\square$

**Claim 5.**  $\gamma_{td}(T) = 3q$ .

*Proof.* By Theorem 6 and Claim 4, we have  $\gamma_{td}(G) \leq \frac{3}{2}\gamma_t(G) = \frac{3}{2} \times 2q = 3q$ . Suppose, to the contrary, that  $\gamma_{td}(T) < 3q$ , and let  $S$  be a  $\gamma_{td}$ -set of  $T$ . We note that every support vertex of  $T$  must be in  $S$ . Since  $\gamma_{td}(T) < 3q$ , it follows that there exists a double star in the underlying forest  $H_q$  having at most two vertices in  $S$ . Without loss of generality, assume that the double star in  $H_q$  contributing at most two vertices to  $S$  has centers labeled  $u_1$  and  $v_1$ , that is,  $|(N_T[u_1] \cup N_T[v_1]) \cap S| \leq 2$ . If both  $u_1$  and  $v_1$  are in  $S$ , then by assumption, these are the only two vertices from  $N_T[u_1] \cup N_T[v_1]$  in  $S$ . But then  $u_1$  and  $v_1$  are in a component of order 2 in  $T[S]$ , contradicting the fact that  $S$  is a triad dominating set of  $T$ . Hence, at most one of  $u_1$  and  $v_1$  is in  $S$ . Assume, without loss of generality,  $u_1 \notin S$ . Again since every support vertex of  $T$  must be in  $S$ , it follows that  $u_1$  is not a support vertex of  $T$ . By construction of  $T$ , the vertex  $v_1$  must be a terminal support vertex with  $u_1$  as its only nonleaf neighbor, and so  $v_1 \in S$ . Since  $v_1$  must be in a large component of  $S$ , we infer that two leaf neighbors of  $v_1$  are in  $S$ , contradicting our earlier supposition that the double star with centers labeled  $u_1$  and  $v_1$  contains at most two vertices in  $S$ . Hence,  $\gamma_{td}(T) \geq 3q$ . As observed earlier,  $\gamma_{td}(T) \leq 3q$ . Consequently,  $\gamma_{td}(T) = 3q$ .  $\square$

By Claims 4 and 5, we have  $\gamma_{td}(T) = 3q = \frac{3}{2} \times 2q = \frac{3}{2}\gamma_t(T)$ , completing the proof of Lemma 1.  $\square$

**Theorem 8.** *Let  $T$  be a tree with order  $n \geq 3$ . Then  $\gamma_{td}(T) = \frac{3}{2}\gamma_t(T)$  if and only if  $T$  is the star  $K_{1,n}$  or  $T \in \mathcal{T}$ .*

*Proof.* We first note that the result holds for stars  $T = K_{1,n-1}$  of order  $n \geq 3$ , as  $\gamma_t(T) = 2$ , and  $\gamma_{td}(T) = 3 = \frac{3}{2}\gamma_t(T)$ . Henceforth, we may assume that  $T$  is not a

star. Thus,  $T$  has order  $n \geq 4$  and  $\text{diam}(T) \geq 3$ . If  $T \in \mathcal{T}$ , then by Lemma 1, we have  $\gamma_{\text{td}}(T) = \frac{3}{2}\gamma_t(T)$ , as desired.

Next assume that  $T$  is a tree of order  $n \geq 4$  with  $\gamma_{\text{td}}(T) = \frac{3}{2}\gamma_t(T)$ , and let  $S$  be a  $\gamma_t$ -set of  $T$ . We will show that  $T = T_q \in \mathcal{T}$  for some  $q \geq 1$ . By Theorem 7(a), we have  $T[S] = qK_2$ , where  $q = \frac{1}{2}\gamma_t(G)$ . Label the edges of  $T[S]$  as  $u_i v_i$  for  $i \in [q]$ . Thus,  $\gamma_t(T) = 2q$  for some integer  $q \geq 1$ , and by assumption,  $\gamma_{\text{td}}(T) = \frac{3}{2}\gamma_t(T) = 3q$ .

Let  $U_i = \text{epn}_T(u_i, S)$  and  $V_i = \text{epn}_T(v_i, S)$  for  $i \in [q]$ . By Theorem 7(c), every vertex in  $S$  has an  $S$ -external private neighbor, and so  $U_i \neq \emptyset$  and  $V_i \neq \emptyset$  for all  $i \in [q]$ . Since  $T$  is a tree, no pair of adjacent vertices  $u_i$  and  $v_i$  share a common neighbor for  $i \in [q]$ . Moreover, by Theorem 7(b), every independent subset of  $S$  is a packing, and so no pair of nonadjacent vertices in  $S$  share a common neighbor. Hence, since  $S$  is a  $\gamma_t$ -set in  $T$ , the set  $\{U_i, V_i : i \in [q]\}$  is a partition of  $V(T) \setminus S$ .

Since  $T$  is a tree, there are no edges in the induced subgraph  $T[U_i \cup V_i]$  for  $i \in [q]$  (else  $T$  would have a cycle). Hence, for each  $i \in [q]$ , the induced subgraph  $F_i = T[U_i \cup V_i \cup \{u_i, v_i\}]$  is a double star. Let

$$H_q = \bigcup_{i=1}^q F_i \quad \text{and} \quad L = \bigcup_{i=1}^q (U_i \cup V_i),$$

that is,  $H_q$  is the forest consisting of the union of these  $q$  double stars and  $L$  is the set of leaves of  $H_q$ . If  $q = 1$ , then  $T = F_1$  is a double star, and so  $T = T_1 \in \mathcal{T}$ , as desired. Hence, we may assume that  $q \geq 2$ . Since  $T$  is a tree,  $T$  is connected by exactly  $q - 1 \geq 1$  edges in  $T[L]$ . Thus,  $T$  can be formed from the forest  $H_q$  by adding edges between the vertices of  $L$  in such a way to connect the vertices without forming a cycle.

All that remains to be shown is that at least one of Condition (a) and Condition (b) in the definition of the family  $\mathcal{T}$  is satisfied. If every vertex in  $S$  is a support vertex, then  $T$  satisfies Condition (a). In this case, adopting our notation in the definition of the family  $\mathcal{T}$ , we have that  $T = T_q \in \mathcal{T}$  and  $H_q$  is the underlying forest of  $T_q$ , yielding the desired result.

Hence, we may assume, without loss of generality, that  $u_1$  is not a support vertex of  $T$ . It follows that every vertex in  $U_1$  has a neighbor in  $L$ . Since  $T$  is a tree, we note that no two vertices in  $U_1$  are adjacent to vertices in the same double star component of  $H_q$  (else  $T$  has a cycle). Thus, for each vertex  $u$  in  $U_1$ , we can select a neighbor  $u'$  of  $u$  such that  $u'$  is a leaf in a double star subgraph  $F_i$  of  $H_q$  for some  $i \in [q]$  and  $i \neq 1$  and no other vertex of  $U_1$  has a neighbor in  $F_i$ . Let  $X$  be the set of these selected vertices. We note that  $|X| = |U_1|$ .

We show next that  $v_1$  is a terminal support vertex in  $T$ . Suppose to the contrary, that  $v_1$  is not a terminal support vertex in  $T$ . Thus, there exists a vertex, say  $v$ , in  $V_1$  such that  $v$  has a neighbor  $w \in L$  in the tree  $T$  and  $w \in F_j$  for some  $j \in [q]$  and  $j \neq 1$ . By our previous comments, since  $T$  is a tree, we note that  $w \notin X$ . We now build a triad dominating set of  $T$ . For each double star  $F_i$ ,  $i \neq 1$ , in the forest  $H_q$  that does not have a vertex in  $X \cup \{w\}$ , we randomly choose a vertex from  $U_i \cup V_i$

and label the collection of these vertices as  $X'$ . We note that  $|X \cup \{w\}| + |X'| = q - 1$ . The set  $D = (S \setminus \{u_1\}) \cup \{v\} \cup (X \cup \{w\}) \cup X'$  is a triad dominating set of  $T$ . Hence,

$$\begin{aligned} \gamma_{td}(T) \leq |D| &= |(S \setminus \{u_1\}) \cup \{v\}| + |(X \cup \{w\}) \cup X'| \\ &= |S| - 1 + 1 + q - 1 \\ &= 2q + q - 1 \\ &< 3q \\ &= \frac{3}{2}\gamma_t(T), \end{aligned}$$

a contradiction. Thus,  $v_1$  is a terminal support vertex, implying that Condition (b) is satisfied. Hence for each vertex in  $S$ , at least one of Condition (a) and Condition (b) is satisfied. Therefore adopting our notation in the definition of the family  $\mathcal{T}$ , we have that  $T = T_q \in \mathcal{T}$  and  $H_q$  is the underlying forest of  $T_q$ , yielding the desired result and completing the proof of Theorem 8.  $\square$

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