

Maximizing the indices of a class of signed complete graphs

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Abstract: The index of a signed graph is the largest eigenvalue of its adjacency matrix. Let $\mathfrak{U}_{n,k,4}$ be the set of all signed complete graphs of order n whose negative edges induce a unicyclic graph of order k and girth at least 4. In this paper, we identify the signed graphs achieving the maximum index in the class $\mathfrak{U}_{n,k,4}$.

Keywords: Index, Signed Complete Graph, Unicyclic

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1. Introduction

The edge set and the vertex set of a simple graph G are denoted by $E(G)$ and $V(G)$, respectively. The *order* of G is the cardinality of $V(G)$. The *girth* of G is the order of a shortest cycle in G . Let $z \in V(G)$. The degree and the neighborhood of z are denoted by $d_G(z)$ and $N_G(z)$, respectively. As usual, K_n denotes the *complete graph* of order n and $K_{1,r}$ denotes the *star graph* of order $r + 1$. By C_r , we denote a cycle of order r . A connected graph with exactly one cycle is called a *unicyclic* graph.

Let G be a simple graph, and $\sigma : E(G) \rightarrow \{-, +\}$ be a mapping defined on the edge set of G . Then $\Psi = (G, \sigma)$ is called a *signed graph* with the underlying graph G . If all edges of a signed graph $\Psi = (G, \sigma)$ are positive, then Ψ is denoted by $(G, +)$. A *positive* (resp. *negative*) cycle is a signed cycle containing an even (resp. odd) number of negative edges. A signed graph is said to be *balanced* if all of its cycles, if any, are positive. Otherwise, it is said to be *unbalanced*. Let (K_n, H^-) denote a signed

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complete graph whose negative edges induce a subgraph H . By $\mathfrak{U}_{n,k,g}$, we denote the set of all signed complete graphs (K_n, U^-) , where U is a unicyclic graph of order k and girth at least g . If $A(G) = (a_{ij})$ is the adjacency matrix of a simple graph G , then the *adjacency matrix* of $\Psi = (G, \sigma)$ is defined as $A(\Psi) = (a_{ij}^\sigma)$, where $a_{ij}^\sigma = \sigma(w_i w_j) a_{ij}$. The *characteristic polynomial* of a signed graph Ψ is the characteristic polynomial of $A(\Psi)$ and is denoted by $\varphi(\Psi)$. Also, the spectrum of Ψ is the spectrum of $A(\Psi)$. The *index* of Ψ is the largest eigenvalue of Ψ . For some results on the spectrum of signed graphs see [3, 8, 10, 11].

Let $\Psi = (G, \sigma)$ be a signed graph and $S \subset V(\Psi)$. Let Ψ' be a graph obtained from Ψ by changing the signs of all edges between S and $V(\Psi) - S$. Then we call two graphs Ψ and Ψ' are *switching equivalent*, and we write $\Psi \sim \Psi'$. It is easy to see that two matrices $A(\Psi)$ and $A(\Psi')$ are similar and hence they have the same eigenvalues [12].

A classical problem in the spectral graph theory is the identification of extremal graphs with respect to the index in a given class of graphs. In [4], Brunetti and Ciampella detected the signed graphs with minimum index in the class of signed bicyclic graphs of order n . Signed graphs achieving the extremal index in the set of all unbalanced connected signed graphs with a fixed number of vertices have been studied in [6]. Brunetti and Stanić [5] established the first few signed graphs ordered decreasingly by the index in classes of connected signed graphs, connected unbalanced signed graphs, and signed complete graphs with n vertices. In [8], the signed graph whose largest eigenvalue is maximum among all graphs in $\mathfrak{U}_{n,k,3}$ is determined. In this paper, we find the signed graphs with maximum index in the class $\mathfrak{U}_{n,k,4}$. This result leads to a conjecture on $\mathfrak{U}_{n,k,g}$, for $g > 4$.

2. Main result

In [8], the authors proved that among all signed complete graphs of order $n > 5$ whose negative edges induce a unicyclic graph of order k , the signed graph (K_n, U_1^-) has the maximum index, where U_1 is shown in Fig. 1. Here, we determine $(K_n, U^-) \in \mathfrak{U}_{n,k,4}$ with maximum index. We begin with the following theorem well known as Interlacing theorem for signed graphs, which is a consequence of [7, Theorem 1.3.11].

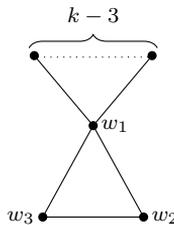


Figure 1. The unicyclic graph U_1 .

Theorem 1. *Let Ψ be a signed graph of order n , and Ψ' be an induced subgraph of Ψ with m vertices. If the eigenvalues of Ψ and Ψ' , respectively, are $\lambda_1 \geq \dots \geq \lambda_n$ and $\lambda'_1 \geq \dots \geq \lambda'_m$, then $\lambda_{n-m+i} \leq \lambda'_i \leq \lambda_i$ for $i = 1, \dots, m$.*

Let $Y = (y_1, \dots, y_n)^T$ be an eigenvector associated with the eigenvalue λ of a signed graph $\Psi = (G, \sigma)$. Assume that the entry y_w corresponds to the vertex w . The *eigenvalue equation* for w is as follows:

$$\lambda y_w = \sum_{v \in N_G(w)} \sigma(vw) y_v.$$

Lemma 1. [9, Lemma 5.1(i)] *Let Ψ be a signed graph and $w_1, w_2, w_3 \in V(\Psi)$ be distinct vertices, and let $Y = (y_1, \dots, y_n)^T$ be an eigenvector associated with the index $\lambda_1(\Psi)$. Let Ψ' be the graph obtained by replacing the sign of the positive edge w_1w_2 and the negative edge w_1w_3 . If $y_{w_1} \geq 0$, $y_{w_2} \leq y_{w_3}$ or $y_{w_1} \leq 0$, $y_{w_2} \geq y_{w_3}$, then $\lambda_1(\Psi) \leq \lambda_1(\Psi')$. If at least one inequality is strict, then $\lambda_1(\Psi) < \lambda_1(\Psi')$.*

If w_1, w_2 and w_3 are as above, then $\mathfrak{R}(w_1, w_2, w_3)$ denotes the relocation explained in Lemma 1.

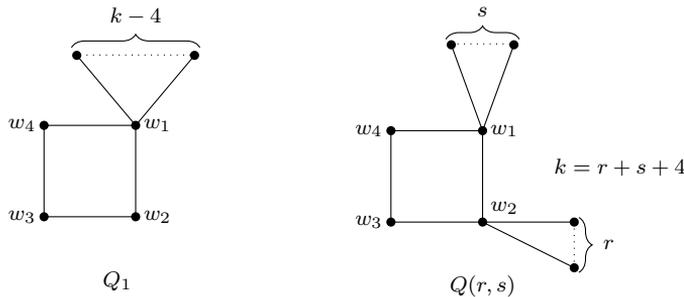


Figure 2. The unicyclic graphs $Q_1, Q(r, s)$.

Now, we can prove the main theorem of the article.

Theorem 2. *Let $(K_n, U^-) \in \mathfrak{U}_{n,k,4}$. Then*

$$\lambda_1(K_n, U^-) \leq \lambda_1(K_n, Q_1^-),$$

where Q_1 is shown in Fig. 2. Moreover, the equality holds if and only if $U = Q_1$.

Proof. Suppose that $\Psi = (K_n, U^-) \in \mathfrak{U}_{n,k,4}$ attains the maximum index. Let $\lambda_1 = \lambda_1(\Psi)$. Clearly, $(K_n, Q_1^-) - \{w_1, w_3\} = (K_{n-2}, +)$, see Fig. 2. Thus by Theorem 1, we have $n - 3 \leq \lambda_1(K_n, Q_1^-) \leq \lambda_1$. Assume that U contains a cycle C of order $g > 3$ and some trees attached at vertices of C . Let $V(U) = \{w_1, \dots, w_k\}$ and $V(C) = \{w_1, \dots, w_g\}$. We assume that the vertices of C have been labelled consecutively, i.e.

$w_i w_{i+1} \in E(C)$ for all $i \in \{1, \dots, g-1\}$. Let $Y = (y_1, \dots, y_n)^T$ be a unit eigenvector associated with λ_1 .

First, we show that $y_i \neq 0$ for some i , $1 \leq i \leq g$. Suppose to the contrary that $y_i = 0$, for $i = 1, \dots, g$. Let $w_p w_q \in E(C)$ and $w_p w_j \in E(U)$, where $1 \leq p, q \leq g$ and $g < j \leq k$. If $y_j \neq 0$, then by Lemma 1, the relocation $\mathfrak{R}(w_j, w_q, w_p)$ would contradict the maximality of λ_1 . By repeating the same procedure, we get $y_i = 0$ for $i = 1, \dots, k$. Hence $k < n$. Let y_t be a component of Y such that $|y_i| \leq |y_t|$, for $i = 1, \dots, n$. Assume that $y_t > 0$ (otherwise, consider $-Y$ instead of Y). By the eigenvalue equation for w_t , we find that $\sum_{i=k+1, i \neq t}^n y_i = \lambda_1 y_t$. Consequently, $\lambda_1 \leq n - k - 1$, a contradiction.

Assume to the contrary that $g > 4$. We may suppose that $y_1 > 0$. If $y_3 \leq y_2$, then the possibility of $\mathfrak{R}(w_1, w_3, w_2)$ contradicts the maximality of λ_1 . So $y_2 < y_3$. Now, assume that $y_2 \geq 0$. If $y_g < y_1$, then the relocation $\mathfrak{R}(w_2, w_g, w_1)$ gives a contradiction and hence $0 < y_1 \leq y_g$. If $y_2 \leq y_1$ (resp. $y_1 \leq y_2$), then the relocation $\mathfrak{R}(w_g, w_2, w_1)$ (resp. $\mathfrak{R}(w_3, w_1, w_2)$) contradicts the maximality of λ_1 . Therefore, $y_2 < 0$. If $y_g \geq 0$, then by $\mathfrak{R}(w_g, w_2, w_1)$, we find a contradiction. Hence $y_g < 0$. So if $y_{g-1} \geq y_3$ (resp. $y_3 \geq y_{g-1}$), then $\mathfrak{R}(w_2, w_{g-1}, w_3)$ (resp. $\mathfrak{R}(w_g, w_3, w_{g-1})$), gives the final contradiction. It follows that $g = 4$.

Let $V(C) = \{w_1, \dots, w_4\}$. If $k = 4$, we are done. Assume that $k > 4$ and $w_1 w_5 \in E(U)$. If $y_5 = 0$, then the relocation $\mathfrak{R}(w_5, w_i, w_1)$ implies that $y_i = y_1$, for $i = 2, 3, 4$. We may assume that $y_1 > 0$ (otherwise, consider $-Y$ instead of Y). Now, the relocation $\mathfrak{R}(w_3, w_5, w_4)$ contradicts the maximality of λ_1 . Hence $y_5 \neq 0$.

Assume that $y_5 > 0$ (otherwise, consider $-Y$ instead of Y). Thus the relocation $\mathfrak{R}(w_5, w_i, w_1)$ implies that $y_1 < y_i$, for $i = 2, 3, 4$. Note that if $5 < q \leq k$ and $w_1 w_q \in E(U)$, then $\mathfrak{R}(w_q, w_4, w_1)$ yields that $y_q > 0$. We consider two cases.

Case 1. $y_1 \geq 0$. Since $y_1 < y_i$, we have $y_i > 0$ for $i = 2, 3, 4$. If $y_5 \leq y_4$, then $\mathfrak{R}(w_3, w_5, w_4)$ leads to a contradiction. Hence $y_4 < y_5$ and thus $y_1 < y_5$.

Let T be the tree attached at vertex w_1 in U . First, we prove that T is a star. For proving this, let $w_5 w_p \in E(U)$, where $p > 5$. If $y_p \geq 0$, then $\mathfrak{R}(w_p, w_1, w_5)$ yields a contradiction. So $y_p < 0$. Therefore, $\mathfrak{R}(w_2, w_p, w_3)$ contradicts the maximality of λ_1 . This completes the assertion.

Next, we claim that $d_U(w_2) = d_U(w_3) = d_U(w_4) = 2$. By contrary assume that $w_i w_p \in E(U)$, for some $i \in \{2, 3, 4\}$ and $p > 5$. Again, if $y_p \geq 0$, then $\mathfrak{R}(w_p, w_1, w_i)$ gives a contradiction and hence $y_p < 0$. Finally, the relocation $\mathfrak{R}(w_5, w_p, w_1)$ concludes a contradiction. The claim is proved. Thus $U = Q_1$, and $\Psi = (K_n, Q_1^-)$.

Case 2. $y_1 < 0$. Note that $y_1 < y_i$, for $i = 2, 3, 4$.

- I. We first show that the tree attached at vertex w_1 in U is a star. Suppose to the contrary that $w_5 w_p \in E(U)$, where $p > 5$. Similar to the Case 1, we deduce that $y_p < 0$, since otherwise the relocation $\mathfrak{R}(w_p, w_1, w_5)$ gives a contradiction. Hence $\mathfrak{R}(w_p, w_4, w_5)$ implies that $y_5 > y_4$ and so by $\mathfrak{R}(w_3, w_5, w_4)$, we find that

$y_3 > 0$. On the other hand, if $y_4 \geq 0$, then $\mathfrak{R}(w_4, w_p, w_3)$ would contradict the maximality of λ_1 . Therefore, $y_4 < 0$. Let Ψ' be obtained by applying two relocations $\mathfrak{R}(w_3, w_1, w_2)$ and $\mathfrak{R}(w_4, w_5, w_1)$ on Ψ . If A and A' are adjacency matrices of Ψ and Ψ' , then we have

$$\lambda_1(\Psi') - \lambda_1(\Psi) = \max_{\|X\|=1} X^T A' X - Y^T A Y$$

$$\geq Y^T (A' - A) Y = 4y_3(y_2 - y_1) + 4y_4(y_1 - y_5) > 0,$$

a contradiction.

II. Next, we prove that $d_U(w_3) = 2$. By contrary assume that two vertices w_3 and w_p are adjacent in U and $p > 5$. By $\mathfrak{R}(w_p, w_1, w_3)$, we have $y_p < 0$, so $\mathfrak{R}(w_p, w_i, w_3)$ yields that $y_i < y_3$, for $i = 2, 4, 5$. Thus $y_3 > 0$. Suppose that $y_4 \leq 0$. Similar to the Part I, by applying two relocations $\mathfrak{R}(w_3, w_1, w_2)$ and $\mathfrak{R}(w_4, w_5, w_1)$, we find a contradiction. Now, assume that $y_4 \geq 0$. Here, two relocations $\mathfrak{R}(w_1, w_3, w_2)$ and $\mathfrak{R}(w_4, w_p, w_3)$ give the final contradiction.

III. We now prove that $d_U(w_2) = 2$ or $d_U(w_4) = 2$. By contrary, assume that $w_2 w_p, w_4 w_q \in E(U)$ and $p, q > 5$. By $\mathfrak{R}(w_p, w_1, w_2)$ and $\mathfrak{R}(w_q, w_1, w_4)$, respectively, we deduce that $y_p, y_q < 0$. Therefore, if $y_2 \geq y_4$, then $\mathfrak{R}(w_q, w_2, w_4)$ implies a contradiction. Otherwise, $\mathfrak{R}(w_p, w_4, w_2)$ contradicts the maximality of λ_1 .

IV. Finally, we show that if T is the tree attached at w_2 in U , then T is a star. Suppose to the contrary that $w_2 w_p, w_p w_q \in E(U)$, where $p, q > 5$. Since $y_1 < y_2$, so $\mathfrak{R}(w_p, w_1, w_2)$ yields that $y_p < 0$. Thus $y_5 > 0 > y_p$, and $\mathfrak{R}(w_q, w_5, w_p)$ concludes that $y_q > 0$. Consequently, if $y_1 \geq y_p$, then $\mathfrak{R}(w_5, w_p, w_1)$ would contradict the maximality of λ_1 . Otherwise, $\mathfrak{R}(w_q, w_1, w_p)$ gives a contradiction. Similarly, we can show that the tree attached at w_4 in U , if any, is a star.

Therefore, $U = Q_1$ or $U = Q(r, s)$ and the candidates as maximizers are: (K_n, Q_1^-) and $(K_n, Q(r, s)^-)$, see Fig. 2. By [8, Corollary 1], we have $\lambda_1(K_n, Q(r, s)^-) < \lambda_1(K_n, Q_1^-)$. The proof is complete. \square

Lemma 2. [2, Lemma 3] *Let $(K_n, K_{1,k}^-)$ be a signed complete graph. Then*

$$\varphi(K_n, K_{1,k}^-) = (\lambda + 1)^{n-3} (\lambda^3 + (3 - n)\lambda^2 + (3 - 2n)\lambda + 4(n - k - 1)k + 1 - n).$$

Corollary 1. *Let $\Psi = (K_n, Q_1^-)$ be a graph with $4 \leq k$ negative edges, where Q_1 is shown in Fig. 2. Then $n - 3 \leq \lambda_1(\Psi) \leq n - 1$. Moreover, $\lambda_1(\Psi) = n - 3$ if and only if $\Psi = (K_6, C_4^-)$. Also, $\lambda_1(\Psi) = n - 1$ if and only if $\Psi = (K_4, C_4^-) \sim (K_4, +)$.*

Proof. By [1, Theorem 2.5], $\lambda_1(\Psi) \leq n - 1$. If the equality holds, then by [11, Lemma 2.1], Ψ is balanced. Thus $n = k = 4$ and $\Psi = (K_4, C_4^-) \sim (K_4, +)$. On the other hand, $\Psi - w_1 = (K_{n-1}, K_{1,2}^-)$ and $\Psi - \{w_1, w_3\} = (K_{n-2}, +)$, see Fig. 2. Therefore,

$$\lambda_1(K_{n-2}, +) = n - 3 \leq \lambda_1(K_{n-1}, K_{1,2}^-) \leq \lambda_1(\Psi).$$

If $\lambda_1(\Psi) = n - 3$, then $\lambda_1(K_{n-1}, K_{1,2}^-) = n - 3$. By Lemma 2, one can deduce that $n = 6$. By a computer search, if $k = 6$, then $\lambda_1(K_6, Q_1^-) = 4.1$, and if $k = 5$, then $\lambda_1(K_6, Q_1^-) = 3.5$. But $\lambda_1(K_6, C_4^-) = 3$ which completes the proof. \square

We close the article with the following conjecture. According to Theorem 2 and [8, Theorem 4], the conjecture is true for $g = 3, 4$.

Conjecture 1. *Among all graphs of order $n > 5$ in $\mathfrak{A}_{n,k,g}$, the graph with the maximum index is the signed graph whose negative edges induce a cycle C_g with $k - g$ pendant vertices attached at the same vertex of the cycle.*

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