

A characterization relating domination, semitotal domination and total Roman domination in trees

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Abstract: A total Roman dominating function on a graph G is a function $f : V(G) \rightarrow \{0, 1, 2\}$ such that for every vertex $v \in V(G)$ with $f(v) = 0$ there exists a vertex $u \in V(G)$ adjacent to v with $f(u) = 2$, and the subgraph induced by the set $\{x \in V(G) : f(x) \geq 1\}$ has no isolated vertices. The total Roman domination number of G , denoted $\gamma_{tR}(G)$, is the minimum weight $\omega(f) = \sum_{v \in V(G)} f(v)$ among all total Roman dominating functions f on G . It is known that $\gamma_{tR}(G) \geq \gamma_{t2}(G) + \gamma(G)$ for any graph G with neither isolated vertex nor components isomorphic to K_2 , where $\gamma_{t2}(G)$ and $\gamma(G)$ represent the semitotal domination number and the classical domination number, respectively. In this paper we give a constructive characterization of the trees that satisfy the equality above.

Keywords: Total Roman domination; semitotal domination; domination; trees.

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1. Introduction

Throughout this paper we consider $G = (V(G), E(G))$ as a simple graph of order $n = |V(G)|$. Given a vertex v of G , $N(v)$ and $N[v]$ represent the *open neighbourhood* and the *closed neighbourhood* of v , respectively.

A *dominating set* of a graph G is a set $D \subseteq V(G)$ such that every vertex not in D is adjacent to at least one vertex in D . The minimum cardinality among all dominating sets is called the *domination number* of G and is denoted by $\gamma(G)$. We refer to [9, 10] for numerous results on this parameter. Now, we consider a recent variant of the concept of domination. A *semitotal dominating set* (STDS) of a graph G without isolated vertices, is a dominating set D of G such that every vertex in D is within distance two of another vertex of D . The *semitotal domination number*, denoted by $\gamma_{t2}(G)$, is the minimum cardinality among all STDSs of G . This parameter was introduced by Goddard et al. in [8], and was also further studied in [11, 12].

Functions defined on graphs is another variant very studied in domination theory. Let $f : V(G) \rightarrow \{0, 1, 2\}$ be a function on a graph G . The function f generates three sets V_0, V_1 and V_2 , where $V_i = \{v \in V(G) : f(v) = i\}$ for $i \in \{0, 1, 2\}$. We will write $f(V_0, V_1, V_2)$ so as to refer to the function f . For a set $S \subseteq V(G)$, $f(S) = \sum_{v \in S} f(v)$ and we define the *weight* of f as $\omega(f) = f(V(G)) = |V_1| + 2|V_2|$. In this sense, by an $f(V(G))$ -*function*, we mean a function of weight $f(V(G))$. We shall also use the following notations: $V_{1,2} = \{v \in V_1 : N(v) \cap V_2 \neq \emptyset\}$ and $V_{1,1} = V_1 \setminus V_{1,2}$.

One of the most remarkable dominating functions defined on graphs are the total Roman dominating functions, which were introduced by Liu and Chang [13]. A *total Roman dominating function* (TRDF) on a graph G without isolated vertices, is a function $f(V_0, V_1, V_2)$ such that for every vertex $v \in V_0$ there exists a vertex $u \in N(v) \cap V_2$, and the subgraph induced by $V_1 \cup V_2$ has no isolated vertices. The *total Roman domination number* of G , denoted by $\gamma_{tR}(G)$, is the minimum weight among all TRDFs on G .

The total Roman domination number was first presented and deeply studied in [1]. Further results on total Roman domination can be found for example, in [2–7].

In [4], Cabrera et al. established the following lower bound for the total Roman domination number of a graph.

Theorem 1. [4] *For any graph G with neither isolated vertex nor components isomorphic to K_2 ,*

$$\gamma_{tR}(G) \geq \gamma_{t2}(G) + \gamma(G).$$

Also, the authors [4] posed the following open problem.

Problem 1. Characterize the graphs G satisfying $\gamma_{tR}(G) = \gamma_{t2}(G) + \gamma(G)$.

In this article, we address this open problem by giving a constructive characterization of trees satisfying the equality above.

1.1. Some Additional Concepts and Notation

For a set $D \subseteq V(G)$, as usual, its *open neighbourhood* and *closed neighbourhood* are $N(D) = \cup_{v \in D} N(v)$ and $N[D] = N(D) \cup D$, respectively. The boundary of the set D is defined as $\partial(D) = N(D) \setminus D$. The *private neighbourhood* $pn(v, D)$ of $v \in D \subseteq V(G)$ is defined by $pn(v, D) = \{u \in V(G) : N(u) \cap D = \{v\}\}$. Each vertex in $pn(v, D)$ is called a private neighbour of v with respect to D . The *external private neighbourhood* $epn(v, D)$ of v consists of the private neighbours of v in $V(G) \setminus D$. Thus, $epn(v, D) = pn(v, D) \cap (V(G) \setminus D)$. Also, by $G - D$ we denote the graph obtained from G when removing all the vertices in D , and all the edges incident with vertices in D . The subgraph induced by $D \subseteq V(G)$ is denoted by $G[D]$.

For any two vertices u and v , the *distance* $d(u, v)$ between u and v is the minimum length of a $u - v$ path. The *diameter* of G , denoted by $diam(G)$, is the maximum distance among pairs of vertices of G .

A *leaf vertex* of a graph G is a vertex of degree one, and a *support vertex* of G is a vertex adjacent to a leaf. The set of leaves and support vertices are denoted by $L(G)$ and $S(G)$, respectively. Let $SS(G) = N(S(G)) \setminus (L(G) \cup S(G))$, $S_{adj}(G) = S(G) \cap N(S(G))$ and $S_s(G) = \{v \in S(G) : |N(v) \cap L(G)| \geq 2\}$. Also, given a set $D \subseteq V(G)$ we denote $I(D)$ as an independent set of maximum cardinality in $G[D]$ such that $|I(D) \cap S(G)|$ is maximum.

A *tree* is a connected and acyclic graph. A *star* $K_{1,n-1}$ is a tree of order $n \geq 3$ with a central vertex of degree $n - 1$ and the remaining vertices are leaves. A *double star* is a tree with exactly two vertices that are not leaves. A *rooted tree* T is a tree with a distinguished special vertex r , called the root. For each vertex $v \neq r$ of T , the *parent* of v is the neighbour of v on the unique $r - v$ path, while a *child* of v is any other neighbour of v . A *descendant* of v is a vertex $u \neq v$ such that the unique $r - u$ path contains v . Thus, every child of v is a descendant of v . The set of descendants of v is denoted by $D(v)$, and we define $D[v] = D(v) \cup \{v\}$. The *maximal subtree* at v is the subtree of T induced by $D[v]$, and is denoted by T_v .

2. Trees T with $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$

We begin with a useful result which provides some properties that satisfies a specific TRDF for the trees T with $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$. Before, we shall need the following lemma.

Lemma 1. [1] *If G is a graph with no isolated vertex, then there exists a $\gamma_{tR}(G)$ -function $f(V_0, V_1, V_2)$ such that either V_2 is a dominating set in G , or the set S of vertices not dominated by V_2 satisfies $G[S] = kK_2$ for some $k \geq 1$, where $S \subseteq V_1$ and $\partial(S) \subseteq V_0$.*

Theorem 2. *Let T be a tree with $diam(T) \geq 3$ such that $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$. Then there exists a $\gamma_{tR}(T)$ -function $f(V_0, V_1, V_2)$ satisfying the following conditions.*

- (i) *Either V_2 is a dominating set of T , or the set $V_{1,1}$ satisfies $T[V_{1,1}] = kK_2$ for some $k \geq 1$, where $\partial(V_{1,1}) \subseteq V_0$.*

- (ii) $V_2 \cup I(V_{1,1})$ is a $\gamma(T)$ -set and $V_2 \cup V_{1,2} \cup I(V_{1,1})$ is a $\gamma_{t2}(T)$ -set.
- (iii) $V_{1,2} = \emptyset$ or $T[V_{1,2}]$ is isomorphic to an edgeless graph. Furthermore, if $v \in V_{1,2}$, then $|N(v) \cap V_2| = 1$.
- (iv) If $v \in V_2$, then $V_0 \cap \text{epn}(v, V_2) \neq \emptyset$.
- (v) If $v \in V_2 \cap N(V_{1,2})$, then $N(v) \cap V_2 = \emptyset$.
- (vi) If $v \in L(T)$, then $v \in V_0 \cup V_{1,1}$.
- (vii) If $v \in S_s(T)$, then $v \in V_2$ and $N(v) \cap L(T) \subseteq V_0$.

Proof. Let $f(V_0, V_1, V_2)$ be a $\gamma_{tR}(T)$ -function which satisfies Lemma 1 such that $f(L(T))$ is minimum. Observe that the set $S \subseteq V_1$ of vertices not dominated by V_2 is $V_{1,1}$, i.e., $S = V(T) \setminus N[V_2] = V_{1,1}$. Hence, condition (i) holds.

Now, we proceed to prove (ii). First, we notice that $A = V_2 \cup I(V_{1,1})$ and $B = V_2 \cup V_{1,2} \cup I(V_{1,1})$ are a dominating set and a semitotal dominating set, respectively. Hence, $\gamma(T) \leq |A|$ and $\gamma_{t2}(T) \leq |B|$. Since $|A| + |B| = \gamma_{tR}(T)$ and $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$, we obtain that $|B| + |A| = \gamma_{t2}(T) + \gamma(T)$. If $|A| > \gamma(T)$, then $|B| < \gamma_{t2}(T)$, which is a contradiction. Therefore, $|A| = \gamma(T)$ and so, $|B| = \gamma_{t2}(T)$, which completes the proof of (ii).

Next, we proceed to prove (iii). Let $v \in V_{1,2}$. Clearly, $N(v) \cap V_2 \neq \emptyset$. If $N(v) \cap V_{1,2} \neq \emptyset$ or $|N(v) \cap V_2| > 1$, then $(V_2 \cup V_{1,2} \cup I(V_{1,1})) \setminus \{v\}$ is a semitotal dominating set of T , which is a contradiction with the fact that $V_2 \cup V_{1,2} \cup I(V_{1,1})$ is a $\gamma_{t2}(T)$ -set by (ii). Therefore, $N(v) \cap V_{1,2} = \emptyset$ and $|N(v) \cap V_2| = 1$, which implies that $T[V_{1,2}]$ is isomorphic to an edgeless graph, and that $|N(v) \cap V_2| = 1$, which completes the proof of (iii).

In order to prove (iv), let $v \in V_2$ and suppose that $V_0 \cap \text{epn}(v, V_2) = \emptyset$. Let $f'(V'_0, V'_1, V'_2)$ be a function defined on T as follows: $V'_2 = V_2 \setminus \{v\}$, $V'_1 = V_1 \cup \{v\}$ and $V'_0 = V_0$. We claim that f' is a TRDF on T . Since f is a TRDF on T , then by the definition of f' , we only need to prove that every vertex $x \in N(v) \cap V'_0$ has a neighbour in V'_2 . Let $x \in N(v) \cap V'_0$. As $V_0 \cap \text{epn}(v, V_2) = \emptyset$ and $V'_0 = V_0$, then there exists a vertex $y \in N(x) \cap (V_2 \setminus \{v\}) \subseteq V'_2$, as desired. Hence, f' is a TRDF on T and satisfies that $\omega(f') < \omega(f) = \gamma_{tR}(T)$, which is a contradiction. Therefore, $V_0 \cap \text{epn}(v, V_2) \neq \emptyset$, as desired.

Now, we proceed to prove (v). Let $v \in V_2 \cap N(V_{1,2})$ and $u \in V_{1,2} \cap N(v)$. By (iii) we have that $N(u) \cap V_2 = \{v\}$. If $N(v) \cap V_2 \neq \emptyset$, then as f is a TRDF on T and $N(u) \cap V_2 = \{v\}$, we deduce that the function f'' defined by $f''(u) = 0$ and $f''(x) = f(x)$ whenever $x \in V(T) \setminus \{u\}$, is a TRDF on T and satisfies that $\omega(f'') < \omega(f) = \gamma_{tR}(T)$, which is a contradiction. Therefore, $N(v) \cap V_2 = \emptyset$, as desired.

Next, we proceed to prove (vi). Let $v \in L(T)$. If $v \in V_2$, then as $T[V_1 \cup V_2]$ has no isolated vertex, we obtain that $V_0 \cap \text{epn}(v, V_2) = \emptyset$, which is a contradiction with condition (iv). Now, if $v \in V_{1,2}$, then the support associated to v , namely u , satisfies that $u \in V_2$. Let $z \in N(u) \cap V_0$. We consider the function g , defined by

$g(v) = 0, g(z) = 1$ and $g(x) = f(x)$ whenever $x \in V(T) \setminus \{v, z\}$. Notice that g is a $\gamma_{tR}(T)$ -function as well and satisfies that $g(L(T)) < f(L(T))$, which is a contradiction. Therefore, $v \notin V_{1,2} \cup V_2$, i.e. $v \in V_0 \cup V_{1,1}$ as desired.

Finally, we proceed to prove (vii). Let $v \in S_s(T)$. Hence, $|N(v) \cap L(T)| \geq 2$. Notice that every support vertex has positive weight under f . Hence $v \in V_1 \cup V_2$. If $v \in V_1$, then for every $h \in N(v) \cap L(T)$ we have that $h \in V_1$. Let $w \in N(v) \setminus L(T)$ (notice that w exists because $\text{diam}(T) \geq 3$). Now, the function g , defined by $g(v) = 2, g(h) = 0$ if $h \in N(v) \cap L(T), g(w) = \max\{1, f(w)\}$ and $g(x) = f(x)$ otherwise, is a $\gamma_{tR}(T)$ -function as well and satisfies that $g(L(T)) < f(L(T))$, which is a contradiction. Therefore, $v \in V_2$ and $N(v) \cap L(T) \subseteq V_0$, which completes the proof. \square

Remark 1. If T' is a subtree of a tree T , then $\gamma(T') \leq \gamma(T)$ and $\gamma_{t2}(T') \leq \gamma_{t2}(T)$.

We next provide a constructive characterization of the trees T satisfying $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$. To this end, we need to introduce some additional terminology.

An *almost semitotal dominating set of a tree T relative to a vertex v* (ASTDS of T relative to v) is a dominating set S of T (with $|S| \geq 2$) such that every vertex in $S \setminus N[v]$ is within distance 2 of another vertex of S . The *almost semitotal domination number of T relative to v* , denoted $\gamma_{t2}(T; v)$, is the minimum cardinality among all ASTDSs of T relative to v . An ASTDS of T relative to v of cardinality $\gamma_{t2}(T; v)$ we call a $\gamma_{t2}(T; v)$ -set. Notice that for any vertex v of a tree T , we have that any STDS is an ASTDS relative to v . Hence, $\gamma_{t2}(T; v) \leq \gamma_{t2}(T)$ for any vertex v of T .

We define a vertex $v \in V(T)$ to be a *stable vertex* if $\gamma(T - v) \geq \gamma(T)$ and $\gamma_{t2}(T; v) = \gamma_{t2}(T)$. Also, we define a vertex $v \in V(T)$ to be a *semi-stable vertex* if $\gamma_{t2}(T; v) = \gamma_{t2}(T)$. Finally, we consider the following sets.

$$W_{t2}^1(T) = \{v \in V(T) : v \text{ belongs to some } \gamma_{t2}(T)\text{-set}\}.$$

$$W_{t2}^a(T) = \{v \in V(T) : v \text{ belongs to some } \gamma_{t2}(T; v)\text{-set}\}.$$

$$S_2(T) = \{v \in S(T) : f(v) = 2 \text{ for some } \gamma_{tR}(T)\text{-function } f\}.$$

Next, we show an example of some definitions above. For the tree T given in the Figure 1 we have the following.

- The set $\{s_1, s_2, s_3\}$ is the only $\gamma_{t2}(T)$ -set and the only $\gamma_{t2}(T; v)$ -set.
- The sets $\{h, s_2, s_3\}$ and $\{s_1, s_2, s_3\}$ are the only $\gamma_{t2}(T; h)$ -sets.
- $W_{t2}^1(T) = \{s_1, s_2, s_3\}$ and $W_{t2}^a(T) = \{h, s_1, s_2, s_3\}$.

For integers a, b, c with $a \geq 1, b \in \{0, 1\}$ and $c \geq 0$, the graph $T_{a,b,c}$ is defined as the graph obtained from P_4, P_3, P_2 and N_1 by taking one copy of N_1, a copies of P_4, b copies of P_3 and c copies of P_2 and joining by an edge one support vertex of each copy of P_4 and one leaf vertex of each copy of P_3 and P_2 with the vertex of N_1 . The vertex associated to the copy of N_1 is called the *special vertex* of $T_{a,b,c}$. In Figure 2 we show the tree $T_{1,1,1}$.

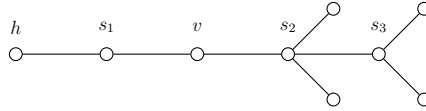


Figure 1. A tree T where $h \in W_{t_2}^a(T) \setminus W_{t_2}^1(T)$ and $v \in V(T) \setminus (W_{t_2}^1(T) \cup W_{t_2}^a(T))$.

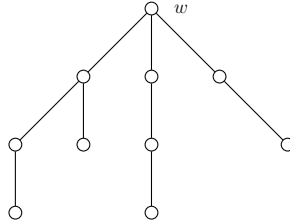


Figure 2. The structure of the tree $T_{1,1,1}$, where w is the special vertex.

Remark 2. For any integers a, b, c with $a \geq 1, b \in \{0, 1\}$ and $c \geq 0$,

$$\gamma_{tR}(T_{a,b,c}) = \gamma_{t_2}(T_{a,b,c}) + \gamma(T_{a,b,c}).$$

Proof. Let $T_{a,b,c}$ be the tree defined above with special vertex $w \in V(T_{a,b,c})$. Let $S_b(T_{a,b,c}) = \{x \in S(T_{a,b,c}) \setminus S_{adj}(T_{a,b,c}) : d(x, w) = 2\}$. Now, we define a function $f(V_0, V_1, V_2)$ on $T_{a,b,c}$ as follows: $V_2 = S_{adj}(T_{a,b,c}) \cup S_b(T_{a,b,c}), V_0 = \partial(S_{adj}(T_{a,b,c})) \cup (N(S_b(T_{a,b,c})) \cap L(T_{a,b,c}))$ and $V_1 = V(T_{a,b,c}) \setminus (V_2 \cup V_0)$. It is easy to see that f is a TRDF on $T_{a,b,c}$, which implies that $\gamma_{tR}(T_{a,b,c}) \leq \omega(f) = 2|V_2| + |V_1| = \gamma_{t_2}(T_{a,b,c}) + \gamma(T_{a,b,c})$. The result follows by Theorem 1. \square

Lemma 2. Let T_2 be a tree obtained from a tree T by attaching a path P_2 to a stable vertex v of T . Then $\gamma(T_2) = \gamma(T) + 1$ and $\gamma_{t_2}(T_2) = \gamma_{t_2}(T) + 1$.

Proof. Assume T_2 is obtained from T by adding the path uu_1 and the edge uv , where v is a stable vertex of T . Notice that any dominating set of T can be extended to a dominating set of T_2 by adding the vertex u . Hence, $\gamma(T_2) \leq \gamma(T) + 1$. Let D be a $\gamma(T_2)$ -set containing u . If $D \setminus \{u\}$ is a dominating set of T , then $\gamma(T) \leq \gamma(T_2) - 1$. Conversely, if $D \setminus \{u\}$ is not a dominating set of T , then $D \setminus \{u\}$ is a dominating set of $T - v$, and as v is a stable vertex of T , we deduce that $\gamma(T) \leq \gamma(T - v) \leq \gamma(T_2) - 1$. Therefore, in both cases, we obtain that $\gamma(T_2) = \gamma(T) + 1$.

Moreover, observe that any semitotal dominating set of T can be extended to a semitotal dominating set of T_2 by adding the vertex u . Hence, $\gamma_{t_2}(T_2) \leq \gamma_{t_2}(T) + 1$. Let S be a $\gamma_{t_2}(T_2)$ -set containing u . Notice that $D \setminus \{u\}$ is an ASTDS of T relative to v . Since v is a stable vertex of T , we have that $\gamma_{t_2}(T) = \gamma_{t_2}(T; v) \leq \gamma_{t_2}(T_2) - 1$, which implies that $\gamma_{t_2}(T_2) = \gamma_{t_2}(T) + 1$. \square

Let \mathcal{H} be the family of trees T that can be obtained from a sequence of trees $T_0, \dots, T_k = T$, with $k \geq 0$ in the following way. First, we consider $T_0 = P_4$. Then, for any $i \in \{1, \dots, k\}$, the tree T_i can be obtained from the tree $T' = T_{i-1}$ by one of the following operations.

Operation O_1 : Add a new vertex u to T' and join u to a vertex $v \in S_2(T')$.

Operation O_2 : Add a path P_2 , and join a leaf of the path to a stable vertex v of T' .

Operation O_3 : Add a path P_3 , and join a leaf of the path to a semi-stable vertex $v \in V(T') \setminus (W_{t_2}^1(T') \cup W_{t_2}^a(T'))$.

Operation O_4 : Add a tree $T_{a,b,c}$ with special vertex u , and join u to an arbitrary vertex v of T' .

For instance, notice the tree T given in Figure 1 belongs to the family \mathcal{H} . First, we consider the subtree $T' = T[N[\{s_2, s_3\}]]$. Observe that $T' \in \mathcal{H}$ because can be obtained from P_4 by repeatedly applying Operation O_1 three times. Now, note that $v \in L(T')$ is a stable vertex of T' . Therefore, T can be obtained from T' by Operation O_2 , which implies that $T \in \mathcal{H}$, as required.

We will now show that every tree T of the family \mathcal{H} satisfies that $\gamma_{tR}(T) = \gamma_{t_2}(T) + \gamma(T)$.

Theorem 3. *If $T \in \mathcal{H}$, then $\gamma_{tR}(T) = \gamma_{t_2}(T) + \gamma(T)$.*

Proof. We proceed by induction on the number $r(T)$ of operations required to construct the tree T . If $r(T) = 0$, then $T = P_4$ and satisfies that $\gamma_{tR}(T) = 4 = \gamma_{t_2}(T) + \gamma(T)$. This establishes the base case. Hence, we now assume that $k \geq 1$ is an integer and that each tree $T' \in \mathcal{H}$ with $r(T') < k$ satisfies that $\gamma_{tR}(T') = \gamma_{t_2}(T') + \gamma(T')$.

Let $T \in \mathcal{H}$ be a tree with $r(T) = k$, which is obtained from a tree $T' \in \mathcal{H}$ with $r(T') = k - 1$ by one of the operations defined above. We shall prove that T satisfies that $\gamma_{tR}(T) = \gamma_{t_2}(T) + \gamma(T)$. For this, we consider the following cases, depending on which operation is used to construct the tree T from T' .

Case 1. T is obtained from T' by Operation O_1 . Assume T is obtained from T' by adding a new vertex u and the edge uv , where $v \in S_2(T')$. Thus, there exists a $\gamma_{tR}(T')$ -function f' satisfying that $f'(v) = 2$. Observe that the function f , defined by $f(u) = 0$ and $f(x) = f'(x)$ whenever $x \in V(T')$, is a TRDF on T . Hence, $\gamma_{tR}(T) \leq \omega(f) = \omega(f') = \gamma_{tR}(T')$. Thus, by Theorem 1, the inequality above, the inductive hypothesis and Remark 1, it follows that $\gamma_{t_2}(T) + \gamma(T) \leq \gamma_{tR}(T) \leq \gamma_{tR}(T') = \gamma_{t_2}(T') + \gamma(T') \leq \gamma_{t_2}(T) + \gamma(T)$. Therefore, we must have equalities throughout the inequality chain above. In particular, $\gamma_{tR}(T) = \gamma_{t_2}(T) + \gamma(T)$.

Case 2. T is obtained from T' by Operation O_2 . Assume T is obtained from T' by adding a path uu_1 and the edge uv where v is a stable vertex of T' . Again, notice that any $\gamma_{tR}(T')$ -function can be extended to a TRDF on T by assigning the weight 1 to u and u_1 . Hence, $\gamma_{tR}(T) \leq \gamma_{tR}(T') + 2$. Thus, by Theorem 1, the inequality above, the inductive hypothesis and Lemma 2, we obtain $\gamma_{t2}(T) + \gamma(T) \leq \gamma_{tR}(T) \leq \gamma_{tR}(T') + 2 = \gamma_{t2}(T') + \gamma(T') + 2 = \gamma_{t2}(T) + \gamma(T)$. Thus, we must have equalities throughout the above inequality chain. In particular, $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$.

Case 3. T is obtained from T' by Operation O_3 . Assume T is obtained from T' by adding a path uu_1u_2 and the edge uv where v is a semi-stable vertex and belongs to $V(T') \setminus (W_{t2}^1(T') \cup W_{t2}^a(T'))$. Observe that any $\gamma_{tR}(T')$ -function can be extended to a TRDF on T by assigning the weight 1 to u , u_1 and u_2 . Hence, $\gamma_{tR}(T) \leq \gamma_{tR}(T') + 3$. Moreover, notice that $\gamma_{t2}(T') \leq \gamma_{t2}(T) - 1$. Now, suppose that $\gamma_{t2}(T') = \gamma_{t2}(T) - 1$ and let S be a $\gamma_{t2}(T)$ -set such that $v, u_1 \in S$. If $S' = S \setminus \{u_1\}$ is a STDS of T' , then S' is a $\gamma_{t2}(T')$ -set containing v , which is a contradiction with the fact that $v \notin W_{t2}^1(T')$. Hence, S' is not a STDS of T' , however S' is an ASTDS of T' relative to v and as v is a semi-stable vertex of T' , it follows that $\gamma_{t2}(T') = \gamma_{t2}(T'; v) \leq |S'| = |S \setminus \{u_1\}| = \gamma_{t2}(T) - 1 = \gamma_{t2}(T')$. Thus, S' is a $\gamma_{t2}(T'; v)$ -set containing v , which is a contradiction with the fact that $v \notin W_{t2}^a(T')$. So, $\gamma_{t2}(T') \leq \gamma_{t2}(T) - 2$. Also, it is clear that $\gamma(T) = \gamma(T') + 1$. Hence, by Theorem 1, the inequalities above and the inductive hypothesis we obtain $\gamma_{t2}(T) + \gamma(T) \leq \gamma_{tR}(T) \leq \gamma_{tR}(T') + 3 = \gamma_{t2}(T') + \gamma(T') + 3 \leq \gamma_{t2}(T) + \gamma(T)$. Thus, we must have equalities throughout the inequality chain above. In particular, $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$.

Case 4. T is obtained from T' by Operation O_4 . Assume T is obtained from T' by adding the tree $T_{a,b,c}$ with special vertex u , and join u to an arbitrary vertex v of T' . Let $S_b(T_{a,b,c}) = \{x \in S(T_{a,b,c}) \setminus S_{adj}(T_{a,b,c}) : d(x, u) = 2\}$. Notice that any $\gamma_{tR}(T')$ -function $f'(V'_0, V'_1, V'_2)$ can be extended to a TRDF $f(V_0, V_1, V_2)$ on T as follows: $V_2 = V'_2 \cup S_{adj}(T_{a,b,c}) \cup S_b(T_{a,b,c})$, $V_0 = V'_0 \cup \partial(S_{adj}(T_{a,b,c})) \cup (N(S_b(T_{a,b,c})) \cap L(T_{a,b,c}))$ and $V_1 = V(T) \setminus (V_2 \cup V_0)$. Hence, $\gamma_{tR}(T) \leq \omega(f) = \gamma_{tR}(T') + (4a + 3b + 2c)$. Notice that the definition of f is similar to the one given in the proof of Remark 2, where we deduce that $\gamma_{tR}(T_{a,b,c}) = \gamma_{t2}(T_{a,b,c}) + \gamma(T_{a,b,c})$. In that a sense, it is easy to see that $\gamma_{t2}(T) = \gamma_{t2}(T') + (2a + 2b + c)$ and $\gamma(T) = \gamma(T') + (2a + b + c)$. Thus, by Theorem 1, the inequalities above and the inductive hypothesis we obtain that $\gamma_{t2}(T) + \gamma(T) \leq \gamma_{tR}(T) \leq \gamma_{tR}(T') + (4a + 3b + 2c) = \gamma_{t2}(T') + \gamma(T') + (4a + 3b + 2c) = \gamma_{t2}(T) + \gamma(T)$. Thus, we must have equalities throughout this inequality chain. In particular, $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$. \square

Now, we prove that any tree T with $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$ belongs to the family \mathcal{H} .

Theorem 4. *Let T be a tree with $\text{diam}(T) \geq 3$. If $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$, then $T \in \mathcal{H}$.*

Proof. We proceed by induction on the order $n \geq 4$ of the trees T with $diam(T) \geq 3$ that satisfy $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$. We observe that if $diam(T) = 3$, then T is a double star and so, $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$. Thus, either T is a path P_4 or T can be obtained from P_4 by repeatedly applying Operation O_1 . Therefore, $T \in \mathcal{H}$. This establishes the base case. We assume now that $diam(T) \geq 4$. Also, we consider an integer $n > 4$ such that each tree T' with $|V(T')| < n$ and $\gamma_{tR}(T') = \gamma_{t2}(T') + \gamma(T')$ satisfies that $T' \in \mathcal{H}$. Let T be a tree with $|V(T)| = n$ and $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$. We shall prove that $T \in \mathcal{H}$. For this, we root the tree T at a leaf vertex r belonging to a longest path in T . Let h be a vertex at maximum distance from r . Clearly, h is a leaf. Let s be the parent of h , let v be the parent of s , let w be the parent of v , and let z be the parent of w (note that it could happen $z = r$). We now proceed with the following claims.

Claim I. If $\delta_T(s) \geq 3$, then $T \in \mathcal{H}$.

Proof. Let f be a $\gamma_{tR}(T)$ -function which satisfies Theorem 2. Since $\delta_T(s) \geq 3$, it follows that $s \in S_s(T)$, and so, by Theorem 2 (vii), $f(s) = 2$ and $f(h) = 0$. Let $T' = T - h$. Hence, f restricted to $V(T')$ is a TRDF on T' , which implies that $\gamma_{tR}(T') \leq \gamma_{tR}(T)$. Also, since $s \in S(T')$, we have that $\gamma_{t2}(T) = \gamma_{t2}(T')$ and $\gamma(T) = \gamma(T')$ by Remark 1. Thus, by Theorem 1, inequalities above and the hypothesis of the theorem we obtain that $\gamma_{t2}(T') + \gamma(T') \leq \gamma_{tR}(T') \leq \gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T) = \gamma_{t2}(T') + \gamma(T')$. Consequently, we must have equality throughout this inequality chain. In particular $\gamma_{tR}(T') = \gamma_{t2}(T') + \gamma(T')$. Applying the hypothesis inductive to T' , it follows that $T' \in \mathcal{H}$.

Another consequence of the equalities given in the inequality chain above is that $\gamma_{tR}(T') = \gamma_{tR}(T)$. This implies that f restricted to $V(T')$ is a $\gamma_{tR}(T')$ -function. Hence, $s \in S_2(T')$. Therefore, T can be obtained from T' by Operation O_1 , and consequently, $T \in \mathcal{H}$. (\diamond)

Notice that the position of vertex s in T with respect to r is not important in the presented proof of **Claim I**. Hence, we may henceforth assume that $S_s(T) = \emptyset$.

Claim II. If $\delta_T(s) = 2$ and $\delta_T(v) \geq 3$, then $T \in \mathcal{H}$.

Proof. In this case, we have that $v \in SS(T) \cup S(T)$. Let $f(V_0, V_1, V_2)$ be a $\gamma_{tR}(T)$ -function which satisfies Theorem 2. Now, we analyse the following two cases.

Case 1. $v \in SS(T)$. In this case we have that $v \notin S(T)$, and as $|N(v) \cap S(T)| \geq 2$, Theorem 2 (iii) leads to $f(v) \neq 1$. Hence, if $f(v) = 0$, then $f(s) = f(h) = 1$. Otherwise, if $f(v) = 2$, then $f(s) = 2$ and $f(h) = 0$. Let $T' = T - \{s, h\}$. Notice that, in both cases, f restricted to $V(T')$ is a TRDF on T' , and so $\gamma_{tR}(T') \leq \gamma_{tR}(T) - 2$. Also, since $v \in SS(T')$, it is easy to check that $\gamma_{t2}(T) \leq \gamma_{t2}(T') + 1$ and $\gamma(T) \leq \gamma(T') + 1$. Thus, by Theorem 1, the inequalities above and the hypothesis of the theorem, we obtain that

$$\gamma_{t2}(T') + \gamma(T') \leq \gamma_{tR}(T') \leq \gamma_{tR}(T) - 2 = \gamma_{t2}(T) + \gamma(T) - 2 \leq \gamma_{t2}(T') + \gamma(T'). \quad (1)$$

As a consequence, we must have equalities throughout the inequality chain (1). In

particular, $\gamma_{tR}(T') = \gamma_{t2}(T') + \gamma(T')$ and by the inductive hypothesis, $T' \in \mathcal{H}$. Also, we obtain that $\gamma_{t2}(T) = \gamma_{t2}(T') + 1$ and $\gamma(T) = \gamma(T') + 1$. Notice that any dominating set of $T' - v$ (respectively, ASTDS of T' relative to v) can be extended to a dominating set (respectively, STDS) of T by adding the vertex s . Hence $\gamma(T) \leq \gamma(T' - v) + 1$ and $\gamma_{t2}(T) \leq \gamma_{t2}(T'; v) + 1$. If v is not a stable vertex of T' , then we obtain a contradiction with at least one of the equalities $\gamma_{t2}(T) = \gamma_{t2}(T') + 1$ and $\gamma(T) = \gamma(T') + 1$. So, v is a stable vertex of T' . Therefore, T can be obtained from T' by Operation O_2 , and consequently, $T \in \mathcal{H}$.

Case 2. $v \in S(T)$. Let $N(v) \cap L(T) = \{h'\}$ (recall that $S_s(T) = \emptyset$). Since $v, s \in S(T)$, we have that $f(v) = f(s) = 2$ and $f(h) = f(h') = 0$. We analyse the following two subcases.

Subcase 2.1. $f(w) > 0$. In this subcase, f restricted to $V(T) \setminus \{s, h\}$ is a TRDF on $T' = T - \{s, h\}$. Hence $\gamma_{tR}(T') \leq \gamma_{tR}(T) - 2$. Also, since $v \in S(T')$, it is easy to check to $\gamma_{t2}(T) \leq \gamma_{t2}(T') + 1$ and $\gamma(T) = \gamma(T') + 1$. Thus, by Theorem 1, the inequalities above and the hypothesis of the theorem, we obtain Inequality chain (1). So, we must have equalities throughout this inequality chain. In particular, $\gamma_{t2}(T) = \gamma_{t2}(T') + 1$ and also, $\gamma_{tR}(T') = \gamma_{t2}(T') + \gamma(T')$, which implies that $T' \in \mathcal{H}$ by the inductive hypothesis,. By proceeding analogously to the Case 1 of Claim II, we deduce that v is a stable vertex of T' . Hence, T can be obtained from T' by Operation O_2 . Therefore, $T \in \mathcal{H}$.

Subcase 2.2. $f(w) = 0$. First, we observe that $w \notin S(T)$. Now, we notice that w does not have two children v_1 and v_2 such that $T_{v_1} = T_{v_2} = P_3$ (otherwise, the set $(V_2 \cup V_{1,2} \cup I(V_{1,1}) \cup \{w\}) \setminus \{v_1, v_2\}$ is a STDS of T , which is a contradiction with Theorem 2 (ii)). Hence, by Theorem 2 we have that $T_w \cong T_{a,b,c}$. Let $T' = T - V(T_w)$. Since $f(w) = 0$, we have that f restricted to $V(T')$ is a TRDF on T' . So, $\gamma_{tR}(T') \leq \omega(f) - f(V(T_w)) = \gamma_{tR}(T) - (4a + 3b + 2c)$. Also, by Theorem 2, it is easy to check to $\gamma_{t2}(T) = \gamma_{t2}(T') + (2a + 2b + c)$ and $\gamma(T) = \gamma(T') + (2a + b + c)$. Thus, by Theorem 1, the inequalities above and the hypothesis of the theorem, we obtain $\gamma_{t2}(T') + \gamma(T') \leq \gamma_{tR}(T') \leq \gamma_{tR}(T) - (4a + 3b + 2c) = \gamma_{t2}(T) + \gamma(T) - (4a + 3b + 2c) = \gamma_{t2}(T') + \gamma(T')$. So, we must have equalities throughout the inequality chain above. In particular, $\gamma_{tR}(T') = \gamma_{t2}(T') + \gamma(T')$.

If $diam(T') \geq 3$, then by the inductive hypothesis, $T' \in \mathcal{H}$. Therefore, T can be obtained from T' by Operation O_4 , and consequently, $T \in \mathcal{H}$.

Now, we suppose that $diam(T') \in \{1, 2\}$. This implies that $T' \in \{P_2, P_3\}$. Since $T_w \cong T_{a,b,c}$ and $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$, we deduce that $T \cong T_{a,b',c'}$, and so, by the structure of T , it is easy to check that $T \in \mathcal{H}$. (\diamond)

Claim III. If $\delta_T(s) = \delta_T(v) = 2$ and $\delta_T(w) \geq 3$, then $T \in \mathcal{H}$.

Proof. Let $f(V_0, V_1, V_2)$ be a $\gamma_{tR}(T)$ -function which satisfies Theorem 2. Let $v' \in N(w) \setminus \{z, v\}$. Notice that $v' \in L(T) \cup S(T) \cup SS(T)$. Suppose that $f(v) = 2$. If $v' \in L(T) \cup S(T)$, then the set $(V_2 \cup I(V_{1,1})) \setminus \{v\}$ is a dominating set of T , which is a contradiction with Theorem 2 (ii). So, $v' \in SS(T)$, which implies that $f(v') = 1$ as

consequence of Theorem 2. Notice that the set $(V_2 \cup V_{1,2} \cup I(V_{1,1}) \cup \{w\}) \setminus \{v', v\}$ is a STDS of T , which is again a contradiction with Theorem 2 (ii). Thus, $f(v) \leq 1$. Now, we analyse the following two cases.

Case 1. $f(v) = 0$. Notice that $f(w) = 2$ and $f(s) = f(h) = 1$. Let $T' = T - \{h, s\}$. By proceeding analogously to the Case 1 of Claim II, we deduce that $T' \in \mathcal{H}$ and that v is a stable vertex of T' . Hence, T can be obtained from T' by Operation O_2 , and consequently, $T \in \mathcal{H}$.

Case 2. $f(v) = 1$. In this case, Theorem 2 leads to $f(s) = 2$ and $f(h) = f(w) = 0$. If $w \in S(T)$ then $f(w) = 2$, which is a contradiction with Theorem 2 (ii) because the set $(V_2 \cup I(V_{1,1})) \setminus \{v\}$ is a dominating set of T . Hence, $w \notin S(T)$. If there exists a child of w different from v , belonging to $SS(T)$, then, as proceeding as before, we can obtain a STDS of T of cardinality less than $\gamma_{t2}(T)$, which is a contradiction. So, $N(w) \setminus \{v, z\} \subseteq S(T)$. Next, we consider the following two subcases.

Subcase 2.1. There exists $x \in N(w) \setminus \{v, z\}$ such that $f(x) = 2$. In this subcase, it is easy to see that $T_w \cong T_{a,1,c}$. Hence, by proceeding analogously to the Subcase 2.2 (Case 2) of Claim II, we deduce that $T \in \mathcal{H}$.

Subcase 2.2. $N(w) \setminus \{v, z\} \subseteq V_{1,1}$. This implies that $f(z) = 2$. Let $N(v') \cap L(T) = \{s'\}$ and $T'' = T - \{v', s'\}$. Again, by proceeding analogously to the Case 1 of Claim II, we deduce that $T'' \in \mathcal{H}$ and that w is a stable vertex of T'' . Hence, T can be obtained from T'' by Operation O_2 , and consequently, $T \in \mathcal{H}$. \diamond

Claim IV. If $\delta_T(s) = \delta_T(v) = \delta_T(w) = 2$, then $T \in \mathcal{H}$.

Proof. Let $f(V_0, V_1, V_2)$ be a $\gamma_{tR}(T)$ -function which satisfies Theorem 2. If $f(v) = 0$, then $f(s) = f(h) = 1$, $f(w) = 2$ and $f(z) > 0$. If $f(z) = 2$, then $(V_2 \cup I(V_{1,1})) \setminus \{w\}$ is a dominating set of T , which is a contradiction with Theorem 2 (ii). Otherwise, if $f(z) = 1$, then $(V_2 \cup V_{1,2} \cup I(V_{1,1})) \setminus \{z\}$ is a STDS of T , which is again a contradiction with Theorem 2 (ii). Thus $f(v) \in \{1, 2\}$ and we analyse the following two cases.

Case 1. $f(v) = 1$. In this case, we obtain that $f(s) = f(z) = 2$ and $f(h) = f(w) = 0$. Let $T' = T - \{h, s, v\}$. Since $f(z) = 2$ and by Theorem 2 (vi), we have that $diam(T) \geq 6$. Notice that f restricted to $V(T')$ is a TRDF on T' . Hence, $\gamma_{tR}(T') \leq \gamma_{tR}(T) - 3$. Moreover, it is clear that $\gamma(T) = \gamma(T') + 1$. Also, every STDS of T' can be extended to a STDS of T by adding the vertices v and s . So, $\gamma_{t2}(T) \leq \gamma_{t2}(T') + 2$. Therefore, by Theorem 1, the inequalities above and the hypothesis of the theorem, we obtain $\gamma_{t2}(T') + \gamma(T') \leq \gamma_{tR}(T') \leq \gamma_{tR}(T) - 3 = \gamma_{t2}(T) + \gamma(T) - 3 \leq \gamma_{t2}(T') + \gamma(T')$. Hence, we must have equalities throughout this inequality chain. In particular, $\gamma_{tR}(T') = \gamma_{t2}(T') + \gamma(T')$ and by the inductive hypothesis, $T' \in \mathcal{H}$.

Another consequence of equalities above is that $\gamma_{t2}(T) = \gamma_{t2}(T') + 2$. Notice that any ASTDS of T' relative to w can be extended to a STDS of T by adding the vertices v and s . Hence $\gamma_{t2}(T) \leq \gamma_{t2}(T'; w) + 2$. If w is not a semi-stable vertex of T' , then we obtain a contradiction with the fact that $\gamma_{t2}(T) = \gamma_{t2}(T') + 2$. So, w is a semi-stable vertex of T' .

Moreover, if $w \in W_{t_2}^1(T') \cup W_{t_2}^a(T')$, then there exists either a $\gamma_{t_2}(T'; w)$ -set S or a $\gamma_{t_2}(T')$ -set D containing to w . Observe that the sets $S \cup \{s\}$ and $D \cup \{s\}$ are STDSs of T . This implies, in both cases, that $\gamma_{t_2}(T) \leq \gamma_{t_2}(T') + 1$, which is a contradiction. So, $w \in V(T') \setminus (W_{t_2}^1(T') \cup W_{t_2}^a(T'))$.

Thus, as $\text{diam}(T') \geq 3$, we have that T can be obtained from T' by Operation O_3 . Therefore, $T \in \mathcal{H}$.

Case 2. $f(v) = 2$. In this case, we obtain that $f(s) = 2$ and $f(h) = f(w) = 0$. Theorem 2 (iv) leads to $f(z) \neq 2$. Let $T' = T - \{h, s\}$. Notice that the function f' , defined by $f'(x) = f(x)$ if $x \in V(T') \setminus \{v, w\}$ and $f'(v) = f'(w) = 1$, is a TRDF on T' . Hence, $\gamma_{t_R}(T') \leq \omega(f') = \gamma_{t_R}(T) - 2$. Also, every dominating set (respectively, STDS) of T' can be extended to a dominating set (respectively, STDS) of T by adding the vertex s . So, $\gamma(T) \leq \gamma(T') + 1$ and $\gamma_{t_2}(T) \leq \gamma_{t_2}(T') + 1$. Therefore, by Theorem 1, the inequalities above and the hypothesis of the theorem, we obtain $\gamma_{t_2}(T') + \gamma(T') \leq \gamma_{t_R}(T') \leq \gamma_{t_R}(T) - 2 = \gamma_{t_2}(T) + \gamma(T) - 2 \leq \gamma_{t_2}(T') + \gamma(T')$. Hence, we must have equalities throughout this inequality chain. In particular, $\gamma_{t_R}(T') = \gamma_{t_2}(T') + \gamma(T')$ and by the inductive hypothesis, $T' \in \mathcal{H}$.

Another consequence of equalities above is that $\gamma(T) = \gamma(T') + 1$ and $\gamma_{t_2}(T) = \gamma_{t_2}(T') + 1$. By proceeding analogously to the Case 1 of Claim II, it is easy to see that v is a stable vertex of T' . Thus, T can be obtained from T' by Operation O_2 . Therefore, $T \in \mathcal{H}$, which completes the proof. \square

As an immediate consequence of Theorems 3 and 4, we have the desired characterization.

Theorem 5. *A tree T of order $n \geq 3$ satisfies that $\gamma_{t_R}(T) = \gamma_{t_2}(T) + \gamma(T)$ if and only if $T \cong K_{1,n-1}$ or $T \in \mathcal{H}$.*

Finally, the examples given in the Figure 3 show that the operations O_1, O_2, O_3 and O_4 are required in the previous characterization.

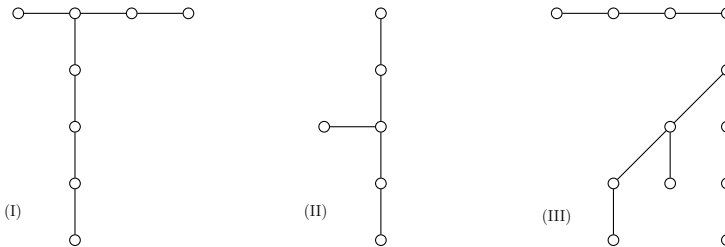


Figure 3. The tree (I) can only be obtained from P_4 by a sequence of operations O_1, O_3 ; the tree (II) can only be obtained from P_4 by the Operation O_2 and the tree (III) can only be obtained from P_4 by the Operation O_4 (using the tree $T_{1,1,0}$).

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