

## Bounds on the outer-independent double Italian domination number

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**Abstract:** An outer-independent double Italian dominating function (OIDIDF) on a graph  $G$  with vertex set  $V(G)$  is a function  $f : V(G) \rightarrow \{0, 1, 2, 3\}$  such that if  $f(v) \in \{0, 1\}$  for a vertex  $v \in V(G)$  then  $\sum_{u \in N[v]} f(u) \geq 3$ , and the set  $\{u \in V(G) | f(u) = 0\}$  is independent. The weight of an OIDIDF  $f$  is the value  $w(f) = \sum_{v \in V(G)} f(v)$ . The minimum weight of an OIDIDF on a graph  $G$  is called the outer-independent double Italian domination number  $\gamma_{oidI}(G)$  of  $G$ . We present sharp lower bounds for the outer-independent double Italian domination number of a tree in terms of diameter, vertex covering number and the order of the tree.

**Keywords:** Roman domination, outer-independent double Italian domination, tree.

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### 1. Introduction

For definitions and notations not given here we refer to [14]. We consider simple connected graphs  $G$  with vertex set  $V = V(G)$  and edge set  $E = E(G)$ . The *order* of  $G$  is  $n = n(G) = |V|$ . The *open neighborhood* of a vertex  $v$  is the set  $N(v) = N_G(v) = \{u \in V(G) | uv \in E\}$  and its *closed neighborhood* is the set  $N[v] = N_G[v] = N(v) \cup \{v\}$ . The *degree* of vertex  $v \in V$  is  $\deg(v) = d(v) = d_G(v) = |N(v)|$ . The *maximum degree* and *minimum degree* of  $G$  are denoted by  $\Delta = \Delta(G)$  and  $\delta = \delta(G)$ ,

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respectively. A *leaf* is a vertex of degree one, and its neighbor is called a *support vertex*. A *strong support vertex* is a support vertex adjacent to more than one leaf. We denote the sets of all leaves and all support vertices of  $G$  by  $L(G)$  and  $S(G)$ , respectively. The *diameter* of a graph  $G$ , denoted by  $\text{diam}(G)$ , is the greatest *distance* between two vertices of  $G$ . A subset  $D$  of  $V(G)$  is a *dominating set* in  $G$  if  $\bigcup_{v \in D} N[v] = V(G)$ . The *domination number*  $\gamma(G)$  is the minimum cardinality of a dominating set in  $G$ . A set  $I$  of vertices is *independent* if no pair of vertices of  $I$  are adjacent. The maximum cardinality of an independent set in  $G$  is called the *independent number*  $\alpha(G)$  of  $G$ . A *vertex cover* of a graph  $G$  is a set  $D$  of vertices such that each edge of  $G$  has at least one end point in  $D$ . The minimum cardinality of a vertex cover is denoted by  $\beta(G)$ . We write  $P_n$  for the path of order  $n$ ,  $C_n$  for the cycle of length  $n$ ,  $K_n$  for the complete graph of order  $n$  and  $K_{p,q}$  for the complete bipartite graph whose partite sets have cardinalities  $p$  and  $q$ , respectively. For a subset  $D$  of vertices in a graph  $G$ , we denote by  $G[D]$  the subgraph of  $G$  induced by  $D$ . The *corona*  $H \circ K_1$  is the graph constructed from a copy of  $H$ , where for each vertex  $v \in V(H)$ , a new vertex  $v'$  and a pendant edge  $vv'$  are added. We denote by  $S_{a,b}$  a double star in which one center is adjacent to  $a$  leaves and the other center is adjacent to  $b$  leaves.

Cockayne et al. [10] introduced the concept of *Roman domination* in graphs, and since then a lot of related variations and generalizations have been studied (See [1, 2, 4, 6–9, 22]). One of the generalizations of Roman domination, namely Italian domination has been introduced by Chellali et al. in [5], Klostermeyer and MacGillivray [16], and Henning and Klostermeyer [15]. An *Italian dominating function* (IDF) on a graph  $G$  is a function  $f : V(G) \rightarrow \{0, 1, 2\}$  such that every vertex  $v \in V(G)$  with  $f(v) = 0$  has at least two neighbors assigned 1 under  $f$  or one neighbor assigned 2 under  $f$ . The *weight* of an IDF  $f$  is the value  $w(f) = \sum_{v \in V(G)} f(v)$ . The minimum weight of an IDF on a graph  $G$  is called the *Italian domination number*  $\gamma_I(G)$  of  $G$ . We note that Italian domination is a generalization of *Roman domination*. Mojdeh and Volkmann [17] considered an extension of Italian domination as follows. For a graph  $G$ , a *double Italian dominating function* (DIDF) is a function  $f : V \rightarrow \{0, 1, 2, 3\}$  having the property that for every vertex  $u \in V$ , if  $f(u) \in \{0, 1\}$ , then  $f(N[u]) \geq 3$ . The weight of a DIDF  $f$  is the sum  $w(f) = f(V) = \sum_{v \in V} f(v)$ , and the minimum weight of a DIDF in a graph  $G$  is the *double Italian domination number*, denoted by  $\gamma_{dI}(G)$ . For a DIDF  $f$ , one can denote  $f = (V_0, V_1, V_2, V_3)$ , where  $V_i = \{v \in V : f(v) = i\}$ , for  $i = 0, 1, 2, 3$ . This concept was further studied in [3, 11, 13, 19–21].

In this paper we continue the study of double Italian domination in graphs by considering those double Italian dominating functions  $f$  such that  $\{v \in V(G) \mid f(v) = 0\}$  is an independent set. A DIDF  $f = (V_0, V_1, V_2, V_3)$  is called an *outer-independent double Italian dominating function* (OIDIDF) if  $V_0$  is an independent set. The minimum weight of an OIDIDF on a graph  $G$  is called the *outer-independent double Italian domination number* of  $G$  and is denoted by  $\gamma_{oidI}(G)$ . The definitions lead to  $\gamma_{oidI}(G) \geq \gamma_{dI}(G)$ . We establish various bounds on the outer-independent double Italian domination number. In Section 2 we prove some preliminary results as well as several general bounds for the outer-independent double Italian domination number. In Section 3, we establish various lower bounds on the outer-independent

double Italian domination number in a tree in terms of order, diameter and vertex cover number. We also characterize extremal trees achieving equality for the given bounds. We make use of the following.

**Theorem 1** ([12, 18]). *For a graph  $G$  of even order  $n$  and no isolated vertices,  $\gamma(G) = \frac{n}{2}$  if and only if the components of  $G$  are the cycle  $C_4$  or the corona  $H \circ K_1$  for any connected graph  $H$ .*

## 2. Preliminaries and general results

We begin with the following observation.

**Observation 2.** If  $f = (V_0, V_1, V_2, V_3)$  is a  $\gamma_{oidI}$ -function on a graph  $G$ , then

- (i) each vertex of  $V_3$  (if any), has a private neighbor in  $V_0$ .
- (ii)  $V_1 \cup V_2 \cup V_3$  is both an outer independent dominating set and a vertex cover in  $G$ .
- (iii) If  $G$  is connected, then  $\beta(G) \leq \gamma_{oidI}(G) \leq 3\beta(G)$ , and if  $\delta \geq 2$ , then  $\gamma_{oidI}(G) \leq 2\beta(G)$ .
- (iv) If  $\delta(G) > 0$ , then  $\gamma_{oidI}(G) \leq \gamma(G) + n \leq \frac{3n}{2}$ , and if  $\delta \geq 2$ , then  $\gamma_{oidI}(G) \leq n$ .

*Proof.* We prove parts (iii) and (iv).

(iii) The inequality  $\beta(G) \leq \gamma_{oidI}(G)$  follows from (ii). To prove  $\gamma_{oidI}(G) \leq 3\beta(G)$ , let  $S$  be a maximum independent set in  $G$ . Then the function  $f$  defined with  $f(u) = 0$  if  $u \in S$  and  $f(u) = 3$  if  $u \notin S$  is an OIDIDF on  $G$ , since  $G$  is connected. Thus  $\gamma_{oidI}(G) \leq 3|V(G) - S| = 3(n - \alpha(G)) = 3\beta(G)$ . Now assume that  $\delta \geq 2$ . Let  $S$  be a maximum independent set of  $G$ . Then the function  $f$  defined by  $f(u) = 0$  if  $u \in S$  and  $f(u) = 2$  otherwise, is an OIDIDF on  $G$ . So  $\gamma_{oidI}(G) \leq w(f) = 2(|V| - |S|) = 2(n - \alpha) = 2\beta(G)$ .

(iv) Given a minimum dominating set  $D$  of  $G$ , the function  $f$  defined by  $f(u) = 2$  if  $u \in D$  and  $f(u) = 1$  otherwise, is an OIDIDF on  $G$ , implying that  $\gamma_{oidI}(G) \leq |D| + n$ . Now the result follows by Ore’s Theorem. If  $\delta \geq 2$ , then it is enough to consider a function which assigns 1 to every vertex of the graph. □

**Proposition 1.** *For any graph  $G$  with at least one edge, there exists a  $\gamma_{oidI}(G)$ -function  $f = (V_0, V_1, V_2, V_3)$  such that  $V_0 \neq \emptyset$ .*

*Proof.* Let  $f = (V_0, V_1, V_2, V_3)$  be a  $\gamma_{oidI}(G)$ -function. If  $V_0 \neq \emptyset$ , then we have done. Thus assume that  $V_0 = \emptyset$ , and by Observation 2 (i), we may assume that  $V_3 = \emptyset$ . If  $V_1 = \emptyset$ , then  $V(G) = V_2$ , and so replacing  $f(u)$  by 1 for one non-isolated vertex  $u$  yields an OIDIDF on  $G$  with the weight less than  $w(f)$ , a contradiction. Thus,  $V_1 \neq \emptyset$ . We consider the following two cases.

Case 1. No vertex of  $V_1$  is adjacent to a vertex of  $V_2$ . Then each vertex of  $V_1$  is adjacent to at least two other vertices of  $V_1$ . If  $H = G[V_1]$ , then we note that  $\delta(H) \geq 2$ . Assume that  $\delta(H) \geq 3$ . If  $v \in V_1$ , then the function  $g$  defined by  $g(v) = 0$  and  $g(x) = f(x)$  otherwise is an OIDIDF on  $G$  of weight less than  $w(f)$ , a contradiction. If  $\delta(H) = 2$ ,

then let  $v \in V_1$  with  $d(v) = 2$ . If  $v$  has a neighbor  $u$  of degree at least three, then let  $w \neq u$  be the other neighbor of  $v$ . Then the function  $g$  defined by  $g(v) = 0$ ,  $g(w) = 2$  and  $g(x) = f(x)$  otherwise is a desired  $\gamma_{oidI}(G)$ -function. In the remaining case  $H$  contains a cycle  $C$  as a component. If  $C = v_1v_2 \dots v_{2k}v_1$  is an even cycle, then the function  $g$  with  $g(v_{2i-1}) = 2$ ,  $g(v_{2i}) = 0$  for  $1 \leq i \leq k$  and  $g(x) = f(x)$  otherwise is a desired  $\gamma_{oidI}(G)$ -function. If  $C = v_1v_2 \dots v_{2k+1}v_1$  is an odd cycle, then the function  $g$  with  $g(v_{2i-1}) = 2$ ,  $g(v_{2i}) = 0$  for  $1 \leq i \leq k$ ,  $g(v_{2k+1}) = 1$  and  $g(x) = f(x)$  otherwise is a desired  $\gamma_{oidI}(G)$ -function.

Case 2. There is a vertex  $v \in V_1$  such that  $v$  is adjacent to a vertex  $w \in V_2$ . If  $d(w) = 1$ , then the function  $g$  defined by  $g(v) = 3$ ,  $g(w) = 0$  and  $g(x) = f(x)$  otherwise, is the desired function. Let now that  $d(w) \geq 2$ . Assume that  $d(u) \geq 2$  for every  $u \in N(w)$ . Then the function  $g$  defined by  $g(w) = 1$  and  $g(x) = f(x)$  for  $x \neq w$  is an OI-IDF on  $G$  of weight less than  $w(f)$ , a contradiction. Finally assume that there exists a vertex  $z \in N(w)$  with  $d(z) = 1$ . Then the function  $g$  defined by  $g(z) = 0$ ,  $g(w) = 3$  and  $g(x) = f(x)$  otherwise is a desired  $\gamma_{oidI}(G)$ -function.  $\square$

If  $C_n$  is a cycle of length  $n$ , then it was shown in [17] that  $\gamma_{dI}(C_n) = n$ . Using this result, the inequality  $\gamma_{oidI}(C_n) \geq \gamma_{dI}(C_n)$ , and Observation 2 (iv) (or the proof of Case 1 in Proposition 1), we obtain the next Observation.

**Observation 3.** If  $C_n$  is a cycle of length  $n$ , then  $\gamma_{oidI}(C_n) = n$ .

We close this section by giving Nordhaus-Gaddum type inequalities for the outer-independent double Italian number. We first define a family  $\mathcal{G}$  of graphs  $G$  such that  $G$  is obtained from a complete graph  $K_p$ , ( $p \geq 4$ ), an empty graph  $\overline{K_s}$ , where  $s \geq \left\lceil \frac{3p}{p-3} \right\rceil$  and a new vertex  $u$ , by joining  $u$  to every vertex of  $K_p$  and joining each vertex of  $\overline{K_s}$  to at least three vertices of  $K_p$  such that each vertex of  $K_p$  is non-adjacent to at least three vertices of  $\overline{K_s}$ . It is clear from the construction of  $G$  that  $G \in \mathcal{G}$  if and only if  $\overline{G} \in \mathcal{G}$ .

**Theorem 4.** Let  $G$  be a graph  $G$  of order  $n$ . Then  $\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) \leq 3n + 1$ , with equality if and only if  $G \in \{K_1, K_2, \overline{K_2}\}$ .

*Proof.* Clearly,  $\gamma_{oidI}(K_1) + \gamma_{oidI}(\overline{K_1}) = 4$  and  $\gamma_{oidI}(K_2) + \gamma_{oidI}(\overline{K_2}) = 7$ . Let now  $n \geq 3$ .

If  $\delta(G) > 0$  and  $\delta(\overline{G}) > 0$ , then it follows from Observation 2 (iv) that

$$\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) \leq \frac{3n}{2} + \frac{3n}{2} = 3n < 3n + 1.$$

Now assume that  $\delta(G) = 0$  or  $\delta(\overline{G}) = 0$ , say  $\delta(G) = 0$ . Let  $I$  be the set of isolated vertices of  $G$ , and let  $H = G - I$ . We deduce from Observation 2 (iv) that

$$\gamma_{oidI}(G) \leq 2|I| + \frac{3n(H)}{2} = 2|I| + 2n(H) - \frac{n(H)}{2} = 2n - \frac{n(H)}{2}.$$

Since  $n \geq 3$  and  $\overline{G}$  has a vertex of degree  $n - 1$ , we note that  $\gamma_{oidI}(\overline{G}) \leq 2 + (n - 1) = n + 1$ . If  $n(H) \geq 2$ , then the last two inequalities lead to

$$\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) \leq 2n - \frac{n(H)}{2} + (n + 1) \leq 3n < 3n + 1.$$

Finally, let  $n(H) = 0$ . Then  $G = \overline{K_n}$  and  $\overline{G} = K_n$ . As  $n \geq 3$ , we obtain

$$\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) \leq 2n + n = 3n < 3n + 1.$$

□

**Theorem 5.** *Let  $G$  be a graph  $G$  of order  $n \geq 3$ . Then  $\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) \leq 3n$ , with equality if and only if  $G \in \{K_3, \overline{K_3}\}$ .*

*Proof.* If  $n = 3$ , then it easy to check that  $\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) = 3n = 9$  if and only if  $G \in \{K_3, \overline{K_3}\}$ . Let now  $n \geq 4$ .

If  $\delta(G) > 0$  and  $\delta(\overline{G}) > 0$ , then it follows from Observation 2 (iv) that

$$\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) \leq \gamma(G) + n + \gamma(\overline{G}) + n.$$

If  $G$  or  $\overline{G}$  has a component which is neither the cycle  $C_4$  nor the corona  $H \circ K_1$  for any connected graph  $H$ , then by Theorem 1,  $\gamma(G) < \frac{n}{2}$  or  $\gamma(\overline{G}) < \frac{n}{2}$ , and thus the last inequality leads to  $\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) \leq \gamma(G) + n + \gamma(\overline{G}) + n \leq 3n - 1$ . Next assume that  $G$  or  $\overline{G}$ , say  $G$  has a  $C_4$  as a component. Then we deduce from Observation 2 (iv) that  $\gamma_{oidI}(G) \leq 4 + \frac{3(n-4)}{2}$  and therefore

$$\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) \leq 4 + \frac{3(n-4)}{2} + \frac{3n}{2} = 3n - 2.$$

Now assume that  $G$  or  $\overline{G}$ , say  $G$  has a corona  $Q = H \circ K_1$  as a component. Let  $V(H) = \{v_1, v_2, \dots, v_k\}$ . If  $k \geq 2$ , then the function  $g$  with  $g(x) = 2$  for  $x \in V(Q) \setminus V(H)$ ,  $g(v_i) = 1$  for  $1 \leq i \leq k - 1$  and  $g(v_k) = 0$  is an OIDIDF on  $Q$  with weight  $\frac{3n(Q)}{2} - 1$ . Again Observation 2 (iv) leads to  $\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) \leq 3n - 1$ . Finally, assume that  $G = pK_2$  for an integer  $p \geq 2$ . Then  $\overline{G}$  is the complete graph minus a perfect matching, and since  $n \geq 4$ , we observe that  $\delta(\overline{G}) \geq 2$  and so  $\gamma_{oidI}(\overline{G}) \leq n$  by Observation 2 (iv). Hence we obtain

$$\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) \leq \frac{3n}{2} + n \leq 3n - 1.$$

Now assume that  $\delta(G) = 0$  or  $\delta(\overline{G}) = 0$ , say  $\delta(G) = 0$ . Let  $I$  be the set of isolated vertices of  $G$ , and let  $F = G - I$ . We deduce from Observation 2 (iv) that

$$\gamma_{oidI}(G) \leq 2|I| + \frac{3n(F)}{2} = 2|I| + 2n(F) - \frac{n(F)}{2} = 2n - \frac{n(F)}{2}.$$

Since  $n \geq 4$  and  $\overline{G}$  has a vertex of degree  $n - 1$ , we note that  $\gamma_{oidI}(\overline{G}) \leq 2 + (n - 1) = n + 1$ . If  $n(F) \geq 3$ , then the last two inequalities lead to

$$\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) \leq 2n - \frac{n(F)}{2} + (n + 1) < 3n.$$

If  $n(F) = 2$ , then  $\overline{G}$  is the complete graph minus an edge, and since  $n \geq 4$ , we observe that  $\delta(\overline{G}) \geq 2$  and so  $\gamma_{oidI}(\overline{G}) \leq n$ . As above, we obtain the desired bound. Finally, let  $n(F) = 0$ . Then  $G = \overline{K_n}$  and  $\overline{G} = K_n$ . As  $n \geq 4$ , we obtain

$$\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) \leq 2n + n - 1 = 3n - 1.$$

□

**Theorem 6.** *Let  $G$  be a graph of order  $n$ . Then*

$$\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) \geq n - 1,$$

*with equality if and only if  $G \in \mathcal{G}$ .*

*Proof.* If  $G$  or  $\overline{G}$  is the empty graph, then clearly  $\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) > 2n > n - 1$ . So assume next that  $G$  and  $\overline{G}$  are graphs with at least one edge. Let  $f = (V_0, V_1, V_2, V_3)$  be a  $\gamma_{oidI}(G)$ -function with  $V_0 \neq \emptyset$  by Proposition 1, and let  $f' = (V'_0, V'_1, V'_2, V'_3)$  be a  $\gamma_{oidI}(\overline{G})$ -function. Then

$$\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) = |V_1| + 2|V_2| + 3|V_3| + |V'_1| + 2|V'_2| + 3|V'_3|. \quad (1)$$

Since  $V_0$  is an independent set, it forms a clique in  $\overline{G}$  and thus  $\gamma_{oidI}(\overline{G}) \geq |V_0| - 1$ . Analogously, we have  $\gamma_{oidI}(G) \geq |V'_0| - 1$ . Therefore (1) leads to

$$\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) \geq n + |V_2| + 2|V_3| - 1 \geq n - 1 \quad (2)$$

and

$$\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) \geq n + |V'_2| + 2|V'_3| - 1 \geq n - 1. \quad (3)$$

We now prove the equality part. Assume that  $\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) = n - 1$ . It follows from (2) and (3) that  $V_2 \cup V_3 = V'_2 \cup V'_3 = \emptyset$ . Thus  $\gamma_{oidI}(G) = |V_1|$  and  $\gamma_{oidI}(\overline{G}) = |V'_1|$ . Since  $V_0$  and  $V'_0$  are independent sets in  $G$  and  $\overline{G}$ , respectively, we have  $|V_0 \cap V'_0| \leq 1$ . If  $V_0 \cap V'_0 = \emptyset$ , then  $|V_1| + |V_0| + |V'_1| + |V'_0| = 2n$ , and so  $\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) + |V_0| + |V'_0| = 2n$ . Then  $n - 1 + |V_0| + |V'_0| = 2n$  and so

$|V_0| + |V'_0| = n + 1 > n$ , a contradiction. Thus  $|V_0 \cap V'_0| = 1$ . Let  $V_0 \cap V'_0 = \{u\}$ ,  $I = V_0 - \{u\}$  and  $J = V'_0 - \{u\}$ . Clearly  $I \cap J = \emptyset$  and  $I \subseteq V'_1$  and  $J \subseteq V_1$ . Then

$$\begin{aligned} n - 1 &= |V_1| + |V'_1| \\ &\geq |I| + |J| \\ &= |V_0| + |V'_0| - 2 \\ &= n - |V_1| + n - |V'_1| - 2 \\ &= 2n - (|V_1| + |V'_1|) - 2 \\ &= n - 1. \end{aligned}$$

Thus  $I = V'_1$  and  $J = V_1$ . Note that  $J \cup \{u\} = V'_0$  is an independent set in  $\overline{G}$  and so  $G[J] = K_p$  is a complete graph in  $G$ . The vertex  $u$  is adjacent to all vertices of  $J$  in  $G$  and  $I$  is an independent set in  $G$ . Let  $|I| = s$ . Then  $G[I] = \overline{K_s}$ . Since  $f$  is a  $\gamma_{oidI}(G)$ -function, each vertex from  $\overline{K_s}$  has at least three neighbors in  $V_1 = J = V(K_p)$ . If a vertex  $v \in V(K_p) = J$  is adjacent to  $k \geq s - 2$  vertices in  $\overline{K_s}$ , then  $v$  has at most two neighbors in  $V(\overline{K_s}) = I = V'_1$ . Then  $f'(v) \neq 0$  and so  $f'(v) = 1$  and therefore  $v \in V'_1 = I$ . This implies that  $v \in I \cap J$ , a contradiction. We deduce that every vertex in  $J = V(K_p)$  has at most  $s - 3$  neighbors in  $\overline{K_s}$ . Now we find the minimum cardinality of  $I$ . Note that each vertex in  $K_p$  is adjacent to at most  $s - 3$  vertices in  $\overline{K_s}$ . Thus there exist at most  $p(s - 3)$  edges between  $K_p$  and  $\overline{K_s}$ . On the other hand each vertex in  $\overline{K_s}$  is adjacent to at least 3 vertices in  $K_p$ . Then there exist at least  $3s$  edges between  $K_p$  and  $\overline{K_s}$ . Therefore  $3s \leq p(s - 3)$ , and thus  $s \geq \frac{3p}{p-3}$ . Consequently,  $G \in \mathcal{G}$ .

Conversely, assume that  $G \in \mathcal{G}$ . Let  $f$  and  $f'$  be the functions on  $G$  and  $\overline{G}$  respectively as follows.  $f(v) = 1$  if  $v \in V(K_p)$  and  $f(x) = 0$  otherwise,  $f'(v) = 1$  if  $v \in \overline{K_s}$  and  $f'(x) = 0$  otherwise. Then  $f$  and  $f'$  are OI-IDF on  $G$  and  $\overline{G}$  respectively. So  $n - 1 \leq \gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) \leq w(f) + w(f') = p + s = n - 1$ . Then  $\gamma_{oidI}(G) + \gamma_{oidI}(\overline{G}) = n - 1$ . □

### 3. Lower bounds for trees

We first determine the outer independent double Italian domination number of paths.

**Proposition 2.** *For a path  $P_n$ ,  $\gamma_{oidI}(P_3) = 3$  and  $\gamma_{oidI}(P_n) = n + 1$  if  $n \geq 4$ .*

*Proof.* The proof is straightforward for  $n \leq 6$ , thus assume that  $n \geq 7$ . Let  $P_n =: v_1 v_2 \dots v_n$ . For odd  $n$  we define a function  $f$  by  $f(v_{2i-1}) = 2, 1 \leq i \leq \frac{n+1}{2}$  and  $f(v_j) = 0$  otherwise, and for even  $n$  we define a function  $f$  by  $f(v_1) = 1, f(v_{2i}) = 2, 1 \leq i \leq \frac{n}{2}, f(v_j) = 0$  otherwise. Then  $f$  is an OI-IDF on  $P_n$ , and so  $\gamma_{oidI}(P_n) \leq w(f) = n + 1$ . Now we use an induction proof on  $n$  to show that  $\gamma_{oidI}(P_n) \geq n + 1$ . For the base step, it is easy to see that  $\gamma_{oidI}(P_7) = 8$ . Assume that for  $n'$  with  $7 \leq n' < n$ , we have  $\gamma_{oidI}(P_{n'}) = n' + 1$ . Let  $f$  be a  $\gamma_{oidI}$ -function for  $P_n$ . If  $f(v_n) = 0$ , then  $f(v_{n-1}) = 3$  and  $f(v_{n-2}) \leq 1$ . If  $f(v_{n-2}) = 1$ , then we define the OI-IDF  $g$  on  $P_n$  by  $g(v_{n-2}) = 0$ ,

$g(v_{n-3}) = f(v_{n-3}) + 1$  and  $g(x) = f(x)$  otherwise. Using the induction hypothesis, we obtain  $(n-3) + 1 = \gamma_{oidI}(P_{n-3}) \leq w(g) - 3 = w(f) - 3 = \gamma_{oidI}(P_n) - 3$ . Thus  $\gamma_{oidI}(P_n) \geq n + 1$ . Thus assume that  $f(v_{n-2}) = 0$ . Then the induction hypothesis implies that  $(n-3) + 1 = \gamma_{oidI}(P_{n-3}) \leq w(f) - 3 = \gamma_{oidI}(P_n) - 3$ . Thus  $\gamma_{oidI}(P_n) \geq n - 2 + 3 = n + 1$ . If  $f(v_n) = 1$ , then  $f(v_{n-1}) = 2$ , and by the induction hypothesis,  $(n-1) + 1 = \gamma_{oidI}(P_{n-1}) \leq w(f) - 1 = \gamma_{oidI}(P_n) - 1$ . Thus assume that  $f(v_n) = 2$ . Then  $f(v_{n-1}) \leq 1$ . If  $f(v_{n-1}) = 1$ , then we define the function  $g$  by  $g(v_{n-1}) = 0$  and  $g(v_{n-2}) = f(v_{n-2}) + 1$  and  $g(x) = f(x)$  otherwise. Using the induction hypothesis, we obtain  $(n-2) + 1 = \gamma_{oidI}(P_{n-2}) \leq w(g) - 2 = w(f) - 2 = \gamma_{oidI}(P_n) - 2$ . Thus  $\gamma_{oidI}(P_n) \geq n + 1$ . If  $f(v_{n-1}) = 0$ , then we again consider  $P_{n-2}$ , and as before we obtain that  $\gamma_{oidI}(P_n) \geq n + 1$ . If  $f(v_n) = 3$ , then by Observation 1 (i),  $f(v_{n-1}) = 0$ . Furthermore, we observe that  $f(v_{n-2}) \geq 1$ . Now the function  $g$  defined by  $g(v_n) = 2$  and  $g(x) = f(x)$  otherwise is an OIDIDF on  $P_n$  of weight less than  $w(f)$ , a contradiction.  $\square$

**Lemma 1.** *If  $v$  is a leaf in a tree  $T$ , then  $\gamma_{oidI}(T - v) \leq \gamma_{oidI}(T)$ .*

*Proof.* Let  $v$  be a leaf of a tree  $T$ ,  $f$  a  $\gamma_{oidI}(T)$ -function and  $u \in N(v)$ . If  $f(v) = 0$  then  $f$  is an OIDIDF on  $T - v$  and so  $\gamma_{oidI}(T - v) \leq w(f) = \gamma_{oidI}(T)$ . If  $f(v) \in \{2, 3\}$ , then we define a function  $g$  by  $g(u) = \max\{f(u), f(v)\}$  and  $g(x) = f(x)$  if  $x \neq u$ . Then  $g|_{V(T) - \{v\}}$  is an OIDIDF on  $T - v$  and so  $\gamma_{oidI}(T - v) \leq w(f) = \gamma_{oidI}(T)$ . Thus assume that  $f(v) = 1$ . This leads to  $f(u) = 2$ . Now the function  $f|_{V(T) - \{v\}}$  is an OIDIDF on  $T - v$ , and so  $\gamma_{oidI}(T - v) \leq \gamma_{oidI}(T)$ .  $\square$

Let  $\mathcal{T}$  be the family of trees  $T$  such that  $T$  is a double star  $S_{1,b}$ , where  $b \geq 1$  or  $T$  is obtained from a double star  $S_{a,b}$ ,  $a \geq 1$  and  $b \geq 1$  by subdivision of the central edge of  $S_{a,b}$  at least once.

**Theorem 7.** *If  $T$  is a tree of diameter  $d \neq 2$ , then  $\gamma_{oidI}(T) \geq d + 2$ , with equality if and only if  $T \in \mathcal{T}$ .*

*Proof.* If  $T$  is a tree of diameter 1 then  $\gamma_{oidI}(T) = 3$  and the result is obtained. Now we consider  $d \geq 3$ . Let  $P$  be a diametrical path of  $T$  which is a copy of  $P_{d+1}$ . By Proposition 2, we have  $\gamma_{oidI}(P_{d+1}) = d + 2$ . Now applying Lemma 1 for finite times yields that  $\gamma_{oidI}(T) \geq \gamma_{oidI}(P_{d+1}) = d + 2$ .

We next prove the equality part. Assume that  $\gamma_{oidI}(T) = d + 2$ . Let  $f$  be a  $\gamma_{oidI}(T)$ -function and let  $v_1 v_2 \dots v_d v_{d+1}$  be a diametrical path in  $T$  such that  $\sum_{x \in \{v_1, v_2, \dots, v_d, v_{d+1}\}} f(x)$  is maximum. Let  $P = T[\{v_1, v_2, \dots, v_d, v_{d+1}\}]$ . Note that  $P \equiv P_{d+1}$ .

**Claim 1.**  $f|_{V(P)}$  is an OIDIDF for  $P$ .

*Proof of Claim 1.* Suppose that  $f|_{V(P)}$  is not an OIDIDF for  $P$ . Then there exists at least one vertex  $x \in V(P)$  such that  $f(x) \in \{0, 1\}$  and  $f(x) + f(y) + f(z) < 3$ , where  $N_P(x) = \{y, z\}$ . Let  $X$  be the set of such vertices of  $P$ . Then the function  $g$  defined



on  $P$  by  $g(x) = f(x) + 1$  if  $x \in X$ , and  $g(x) = f(x)$  otherwise, is an OIDIDF for  $P$ , implying that  $\gamma_{oidI}(P) \leq w(g) = w(f|_{V(P)}) + |X|$ . Therefore  $w(f|_{V(P)}) \geq d + 2 - |X|$ . Also every  $x \in X$  is adjacent to a vertex  $t \in V(T) - V(P)$  and  $\sum_{u \in N[t]} f(u) \geq 2$ . Then  $\gamma_{oidI}(T) = w(f) \geq w(f|_{V(P)}) + 2|X|$ . Therefore  $w(f|_{V(P)}) \leq d + 2 - 2|X|$ , and so  $2|X| \leq |X|$ , a contradiction. Thus,  $f|_{V(P)}$  is an OIDIDF for  $P$ .  $\diamond$

From Claim 1, we deduce that  $f(v) = 0$  for all vertices  $v \in V(T) - V(P)$ , and so any vertex outside  $P$  is adjacent to a vertex of  $P$ . We show that  $\deg_T(v_i) = 2$  for  $3 \leq i \leq d - 1$ , and if  $\deg(v_2) \geq 3$  and  $\deg(v_d) \geq 3$  then  $d \geq 4$ .

Assume that  $\deg(v_i) \geq 3$  for some  $i \in \{3, \dots, d - 1\}$ . Then clearly  $f(v_i) = 3$ . Next we show that  $f(v_{i-1}) = f(v_{i+1}) = 0$ . Assume that  $f(v_{i-1}) \geq 1$  and  $f(v_{i+1}) \geq 1$ . Then changing  $f(v_i)$  to 2 produce an OIDIDF for  $P$  with weight less than  $\gamma_{oidI}(P)$ , a contradiction. Assume next, without loss of generality, that  $f(v_{i-1}) \geq 1$  and  $f(v_{i+1}) = 0$ . Since  $V_0$  is an independent set,  $f(v_{i+2}) \geq 1$  ( $5 \leq i + 2 \leq d + 1$ ). Changing  $f(v_i)$  to 2 produce an OIDIDF for  $P$  with weight less than  $\gamma_{oidI}(P)$ , a contradiction. Consequently,  $f(v_{i-1}) = f(v_{i+1}) = 0$ . Since  $V_0$  is an independent set,  $f(v_{i-2}) \geq 1$  ( $1 \leq i - 2 \leq d - 3$ ) and  $f(v_{i+2}) \geq 1$  ( $5 \leq i + 2 \leq d + 1$ ). Then changing  $f(v_i)$  to 2 produce an OIDIDF for  $P$  with weight less than  $\gamma_{oidI}(P)$ , a contradiction. We conclude that  $\deg_T(v_i) = 2$  for  $i = 3, \dots, d - 1$ . If  $\deg(v_2) \geq 3$  and  $\deg(v_d) \geq 3$  then  $f(v_2) = f(v_d) = 3$ . If  $d \leq 3$ , then it can be seen that  $diam(T) = 3$ ,  $\gamma_{oidI}(T) = 6$  and  $\gamma_{oidI}(T) \neq d + 2$ , a contradiction. We deduce that  $T \in \mathcal{T}$ .

Conversely, assume that  $T \in \mathcal{T}$ . If  $T = S_{1,b}$ , where  $b \geq 1$ , then it is easy to see that  $\gamma_{oidI}(T) = 5$  and  $diam(T) = 3$  and the result follows. Thus assume that  $T$  is obtained from a double star  $S_{a,b}$ ,  $a \geq 1$  and  $b \geq 1$  by subdivision of the central edge  $uv$  of  $S_{a,b}$   $k \geq 1$  times, and let  $x_1, \dots, x_k$  be the new vertices which are obtained by subdivision of  $uv$ , where  $u$  is adjacent to  $x_1$  and  $v$  is adjacent to  $x_k$ . It is sufficient to present an OIDIDF of weight  $d + 2$ . If  $k = 1$ , then  $d = 4$  and the function  $f$  defined by  $f(u) = f(v) = 3$  and  $f(x) = 0$  otherwise is an OIDIDF for  $T$  of weight 6, as desired. If  $k = 2$ , then  $d = 5$  and the function  $f$  defined by  $f(u) = f(v) = 3$ ,  $f(x_2) = 1$  and  $f(x) = 0$  otherwise is an OIDIDF for  $T$  of weight 7, as desired. Thus assume that  $k \geq 3$ . Clearly,  $d = k + 3$ . If  $k$  is odd, then the function  $f$  defined by  $f(u) = f(v) = 3$ ,  $f(x_1) = f(x_k) = 0$  and  $f(x_{2i}) = 2$  and  $f(x_{2i+1}) = 0$ ,  $1 \leq i \leq \frac{k-1}{2}$  is an OIDIDF for  $T$  of weight  $k + 5$ , as desired. If  $k$  is even, then the function  $f$  defined by  $f(u) = f(v) = 3$ ,  $f(x_1) = 1$ ,  $f(x_{2i+1}) = 2$ ,  $1 \leq i \leq \frac{k-2}{2}$  and  $f(x) = 0$  otherwise is an OIDIDF for  $T$  of weight  $k + 5$ , as desired.  $\square$

**Theorem 8.** *Let  $T$  be a tree of order  $n \geq 2$ . Then  $\gamma_{oidI}(T) \geq 2\beta(T) + 1$ , and this bound is sharp.*

*Proof.* We use an induction method on the order  $n = |V(T)|$ . The base step is easy to see for  $n \leq 4$ . Thus assume that  $n \geq 5$ . Assume that  $\gamma_{oidI}(T') \geq 2\beta(T') + 1$  for any tree  $T'$  of order  $n'$  with  $4 \leq n' < n$ . Now consider the tree  $T$ . If  $diam(T) = 2$ , then  $T$  is a star and so  $\gamma_{oidI}(T) = 3 \geq 2\beta(T) + 1$ . If  $diam(T) = 3$ , then  $T$  is a double star in which  $\gamma_{oidI}(T) \in \{5, 6\}$  and it can be seen that  $\gamma_{oidI}(T) \geq 2\beta(T) + 1$ . Thus assume

that  $diam(T) \geq 4$ . Clearly  $n \geq 5$ . If  $T$  has a strong support vertex  $u$ , and  $v$  is a leaf adjacent to  $u$ , then we consider the tree  $T' = T - v$ . It can be seen that  $\beta(T') = \beta(T)$  and by Lemma 1,  $\gamma_{oidI}(T') \leq \gamma_{oidI}(T)$ . According to the induction hypothesis, we obtain  $2\beta(T) + 1 = 2\beta(T') + 1 \leq \gamma_{oidI}(T') \leq \gamma_{oidI}(T)$ . Thus assume that  $T$  does not have a strong support vertex. We consider a diametrical path of  $T$ . Let  $r$  and  $v$  be two leaves with  $d(r, v) = diam(T)$ . We root  $T$  at  $r$ . Let  $w$  be the parent of  $v$  and  $x$  be the parent of  $w$ . Since  $w$  is not a strong support vertex,  $deg(w) = 2$ . Let  $f$  be a  $\gamma_{oidI}(T)$ -function. There are the following two cases depending to the value of  $f(w)$ .

**Case 1.**  $f(w) \geq 1$ . Then  $f(w) + f(v) = 3$ , and we may assume that  $f(w) = 3$  and  $f(v) = 0$ . If  $f(x) \geq 2$ , then replacing  $f(w)$  by 0 and  $f(v)$  by 2 yields an OIDIDF on  $T$  with weight less than  $w(f)$ , a contradiction. Thus  $f(x) \leq 1$ .

Assume that  $f(x) = 1$ . Let  $g$  be a function defined by  $g(x) = g(v) = 2$ ,  $g(w) = 0$  and  $g(u) = f(u)$  otherwise. Then  $g' = g|_{V(T')}$  is an OIDIDF for  $T' = T - \{v, w\}$ . Also  $\beta(T') = \beta(T) - 1$ . By the induction hypothesis,  $2\beta(T') + 1 \leq \gamma_{oidI}(T') \leq w(g') = w(f) - 2 = \gamma_{oidI}(T) - 2$ . Then  $2(\beta(T) - 1) + 1 \leq \gamma_{oidI}(T) - 2$ , and the result follows.

Next assume that  $f(x) = 0$ . Clearly  $f(t) \geq 1$  for every  $t \in N(x)$ . Assume that  $deg(x) \geq 3$ . Let  $g$  be a function defined by  $g(x) = 1$ ,  $g(v) = 2$ ,  $g(w) = 0$  and  $g(u) = f(u)$  otherwise. Then  $g' = g|_{V(T')}$  is an OIDIDF for  $T' = T - \{v, w\}$ , and as before we obtain the result. Thus assume that  $deg(x) = 2$ . Let  $y$  be the father of  $x$ . Since  $f(x) = 0$ , we have  $f(y) \geq 1$ . If  $f(y) \geq 2$ , then  $g|_{V(T')}$ , where  $g$  is a function defined by  $g(x) = 1$ ,  $g(v) = 2$ ,  $g(w) = 0$  and  $g(u) = f(u)$  otherwise, is an OIDIDF for  $T - \{v, w\}$ , and as before we obtain the result. Thus assume that  $f(y) = 1$ .

Assume that  $deg(y) = 2$ . Let  $z$  be the father of  $y$ . Then  $f(z) \geq 2$ , and  $g|_{V(T')}$ , where  $g$  is defined by  $g(y) = g(w) = 0$ ,  $g(x) = g(v) = 2$  and  $g(u) = f(u)$  otherwise, is an OIDIDF for  $T - \{v, w\}$ , and as before we obtain the result. Thus assume that  $deg(y) \geq 3$ .

Assume that  $y$  is a support vertex and  $y'$  is the leaf adjacent to  $y$ . Clearly  $f(y') = 2$ . Then the function  $g$  defined by  $g(y) = 3$ ,  $g(y') = 0$  and  $g(u) = f(u)$  otherwise, is an OIDIDF on  $T$  with  $w(g) = w(f)$ . Then  $g' = g|_{V(T')}$  is an OIDIDF for  $T' = T - \{v, w, x\}$ . Also  $\beta(T') = \beta(T) - 1$ . The induction hypothesis implies that  $2\beta(T) - 1 = 2(\beta(T) - 1) + 1 = 2\beta(T') + 1 \leq \gamma_{oidI}(T') \leq w(g') = w(f) - 3 = \gamma_{oidI}(T) - 3$  and therefore  $\gamma_{oidI}(T) \geq 2\beta(T) + 2 \geq 2\beta(T) + 1$ . Thus assume that  $y$  is not a support vertex. Let  $y'$  be a child of  $y$  different from  $x$ . Clearly  $deg(y') \geq 2$ . If  $y'$  has a child  $y''$  which  $y''$  is a support vertex and  $y'''$  is the child of  $y''$ , then  $y'''$  plays the role of  $v$  in the diametrical path, and so we may assume that  $deg(y') = deg(y'') = 2$ ,  $f(y') = f(y''') = 0$  and  $f(y'') = 3$ .

Let  $g$  be a function defined by  $g(y) = 3$ ,  $g(u) = f(u)$  if  $u \neq y$ ,  $T_1 = T - \{v, w, y'', y'''\}$  and  $g_1 = g|_{V(T_1)}$ . Then  $w(g_1) = w(f) - 4$  and we note that  $\beta(T_1) = \beta(T) - 2$ . So by the induction hypothesis  $2\beta(T_1) + 1 \leq \gamma_{oidI}(T_1) \leq w(g_1) = w(f) - 4 = \gamma_{oidI}(T) - 4$ . Then  $2\beta(T) + 1 \leq \gamma_{oidI}(T)$  as desired. Thus assume that  $y'$  is a support vertex. Let  $y''$  be the child of  $y'$ . Clearly  $deg(y') = 2$ . Assume that  $f(y') = 0$ . Then  $f(y'') = 2$ . Let  $T'' = T - \{y', y''\}$ . Since every vertex cover contains  $y'$  or  $y''$ ,  $\beta(T'') = \beta(T) - 1$ . Also  $f|_{V(T'')}$  is an OIDIDF on  $T''$ . So  $\gamma_{oidI}(T'') \leq w(f|_{V(T'')}) = \gamma_{oidI}(T) - 2$ . By the induction hypothesis  $\gamma_{oidI}(T'') \geq 2\beta(T'') + 1 = 2\beta(T) - 1$  and so the result follows. Thus

assume that  $f(y') \geq 1$ . Note that  $f(y') + f(y'') = 3$ . Then the function  $g$  defined by  $g(y'') = g(y) = 2$ ,  $g(y') = 0$  and  $g(u) = f(u)$  otherwise is an OIDIDF on  $T$  with  $w(g) = w(f)$ . Now letting  $T'' = T - \{y', y''\}$ ,  $g|_{V(T'')}$  is an OIDIDF on  $T''$ , and as before the result follows.

**Case 2.**  $f(w) = 0$ . Then  $f(v) = 2$ . Then  $g|_{V(T')}$ , where  $T' = T - \{v, w\}$ , is an OIDIDF for  $T'$  and as before we obtain the result.

To see the sharpness, consider a star or a path  $P_n$  with even  $n$ . □

**Theorem 9.** *If  $T$  is a tree of order  $n \geq 2$  with  $\ell$  leaves, then  $\gamma_{oidI}(T) \geq \frac{n+5-\ell(T)}{2}$ , with equality if and only if  $T$  is a star of order at least three.*

*Proof.* For the inequality part we use an induction proof on the order. For the base step of the induction, if  $n \leq 3$  then  $\ell = 2$  and  $\gamma_{oidI}(T) = 3$  and so the result follows. Thus assume that  $n \geq 4$ . Assume that  $\gamma_{oidI}(T') \geq \frac{n'+5-\ell(T')}{2}$  for every tree  $T'$  of order  $n'$  with  $3 \leq n' < n$ , and  $T$  is a tree of order  $n$ . If  $diam(T) = 2$ , then  $T$  is a star with  $\gamma_{oidI}(T) = 3$  and  $\ell(T) = n - 1$ , and so  $3 \geq \frac{n+5-(n-1)}{2} = 3$ . If  $diam(T) = 3$ , then  $T$  is a double star with  $\gamma_{oidI}(T) \in \{5, 6\}$  and  $\ell(T) = n - 2$ . The it can be seen that  $\gamma_{oidI}(T) \geq \frac{n+5-(n-2)}{2}$ . Thus we assume that  $diam(T) \geq 4$ .

Assume that  $T$  has a strong support vertex  $u$ , and let  $v$  be a leaf adjacent to  $u$ . Then it follows from Lemma 1 and the induction hypothesis that

$$\gamma_{oidI}(T) \geq \gamma_{oidI}(T - v) \geq \frac{n - 1 + 5 - (\ell(T) - 1)}{2} = \frac{n + 5 - \ell(T)}{2}.$$

Thus assume that  $T$  does not have a strong support vertex.

Let  $v_1 v_2 \dots v_k$  be a diametrical path in  $T$ , where  $v_1$  and  $v_k$  are leaves and  $k \geq 5$ . Since  $T$  has no strong support vertex, we find that  $\deg(v_2) = \deg(v_{k-1}) = 2$ . Let  $f$  be a  $\gamma_{oidI}(T)$ -function.

If  $f(v_2) = 2$ , then  $f(v_1) = 1$ . Let  $T' = T - v_1$  and  $f' = f|_{V(T')}$ . Then  $\gamma_{oidI}(T') \leq w(f') = w(f) - 1 = \gamma_{oidI}(T) - 1$ . By the induction hypothesis,  $\frac{n'+5-\ell(T')}{2} \leq \gamma_{oidI}(T') \leq \gamma_{oidI}(T) - 1$ . Since  $\ell(T') = \ell(T)$ , we have  $\frac{n-1+5-\ell(T)}{2} \leq \gamma_{oidI}(T) - 1$ . Thus  $\frac{n+5-\ell(T)}{2} + \frac{1}{2} \leq \gamma_{oidI}(T)$ , and therefore the result follows.

If  $f(v_2) = 1$ , then  $f(v_1) = 2$ . Then replace  $f(v_2)$  by 2 and  $f(v_1)$  by 1, and we obtain the desired bound as before.

Next assume that  $f(v_2) = 0$ . Then  $f(v_1) = 2$  and  $f(v_3) \geq 1$ . Let  $T'' = T - \{v_1, v_2\}$  and  $f'' = f|_{V(T'')}$ . Then  $f''$  is an OIDIDF for  $T''$ . Note that  $\ell(T'') \leq \ell(T)$ . By the induction hypothesis,  $\frac{n''+5-\ell(T'')}{2} \leq \gamma_{oidI}(T'') \leq w(f'') = w(f) - 2 = \gamma_{oidI}(T) - 2$ . Then  $\frac{n-2+5-\ell(T)}{2} \leq \gamma_{oidI}(T) - 2$ . Thus  $\frac{n+5-\ell(T)}{2} + 1 \leq \gamma_{oidI}(T)$ , and the result follows.

It remains to assume that  $f(v_2) = 3$ . Then  $f(v_1) = 0$ . If  $f(v_3) \geq 2$ , then we consider  $T''$  and  $f''$  as in the previous case, and obtain the result. If  $f(v_3) = 1$  then we define the function  $g$  with  $g(v_3) = 2$  and  $g(u) = f(u)$  otherwise. Let  $T'' = T - \{v_1, v_2\}$  and  $g'' = g|_{V(T'')}$ . Then  $w(g'') = w(f) - 2$ , and as before, we obtain the result. Thus assume that  $f(v_3) = 0$ . We define the function  $g$  with  $g(v_3) = 2$  and  $g(u) = f(u)$

otherwise. Let  $T'' = T - \{v_1, v_2\}$  and  $g'' = g|_{V(T'')}$ . Then  $w(g'') = w(f) - 1$  and as before, we obtain the result.

We now prove the equality part. Clearly for any star of order  $n \geq 3$  the equality holds. To show the other side, let  $T$  be a tree of order  $n \geq 2$  with  $\ell$  leaves and  $\gamma_{oidI}(T) = \frac{n+5-\ell(T)}{2}$ . We use an induction on  $n$  to show that  $T$  is a star. The base step is obvious for  $n \in \{2, 3\}$ . Thus assume that  $n \geq 4$ . Assume that every tree  $T'$  of order  $n'$  with  $3 \leq n' < n$  and  $\gamma_{oidI}(T') = \frac{n'+5-\ell(T')}{2}$  is a star. Let  $T$  be a tree of order  $n \geq 4$  and  $\gamma_{oidI}(T) = \frac{n+5-\ell(T)}{2}$ . Let  $u$  be a vertex of  $T$  with  $\deg(u) = \Delta(T)$  and  $f$  be a  $\gamma_{oidI}(T)$ -function. According to Proposition 2,  $\Delta \geq 3$ .

**Claim 1.**  $u$  is a support vertex.

*Proof of Claim 1.* Suppose that  $u$  is not a support vertex. Then  $T$  is not a star. We first show that every support vertex of  $T$  has degree 2. Assume that  $T$  has a support vertex  $w$  with  $\deg(w) \geq 3$ , and  $v$  is a leaf adjacent to  $w$ . Let  $T' = T - v$ . Then clearly  $T'$  is not a star. Since  $T'$  is not a star, it follows from the induction hypothesis that  $\gamma_{oidI}(T') \neq \frac{n'+5-\ell(T')}{2}$ . By the first part of the theorem,  $\gamma_{oidI}(T') > \frac{n'+5-\ell(T')}{2} = \frac{n-1+5-\ell(T)+1}{2} = \frac{n+5-\ell(T)}{2} = \gamma_{oidI}(T)$ . Thus,  $\gamma_{oidI}(T') > \gamma_{oidI}(T)$ , a contradiction to Lemma 1. Thus assume that every support vertex of  $T$  has degree 2. Let  $w$  be a support vertex,  $v$  be a leaf adjacent to  $w$ , and  $x \in N(w) - \{v\}$ . Let  $f$  be a  $\gamma_{oidI}(T)$ -function,  $T' = T - v$ . Since  $\ell(T') = \ell(T)$  we obtain from the first part of the theorem and Lemma 1 that

$$\gamma_{oidI}(T') \leq \gamma_{oidI}(T) = \frac{n' + 1 + 5 - \ell(T')}{2} = \frac{n' + 5 - \ell(T')}{2} + \frac{1}{2} \leq \gamma_{oidI}(T') + \frac{1}{2}.$$

Thus we obtain that  $\gamma_{oidI}(T') = \gamma_{oidI}(T)$ .

Suppose that  $f(w) \geq 1$ . If  $f(x) = 0$ , then  $f(t) \geq 1$  for every vertex  $t \in N(x)$ , since  $f$  is an OIDIDF. Then we change  $f(w)$  and  $f(v)$ , if necessary, to  $f(w) = 2$  and  $f(v) = 1$ . Then  $f' = f|_{V(T')}$  is an OIDIDF on  $T'$  with  $w(f') = w(f) - 1$ . Then  $\gamma_{oidI}(T') \leq w(f') = w(f) - 1 = \gamma_{oidI}(T) - 1 < \gamma_{oidI}(T)$ , a contradiction with  $\gamma_{oidI}(T') = \gamma_{oidI}(T)$ . Thus  $f(x) \geq 1$ . If  $f(w) + f(v) \geq 3$ , we can change  $f(w)$  and  $f(v)$ , if necessary, to  $f(w) = 2$  and  $f(v) = 1$  and as before we get a contradiction. Thus assume that  $f(w) = 0$ . Then  $f(v) = 2$ . We note that  $f(x) \geq 1$ . Let  $T'' = T - \{v, w\}$ . Then  $\gamma_{oidI}(T'') \leq w(f|_{V(T'')}) = w(f) - 2 = \gamma_{oidI}(T) - 2$ , and so  $\gamma_{oidI}(T'') < \gamma_{oidI}(T)$ . Assume that  $\deg(x) \geq 3$ . Then  $\ell(T'') = \ell(T) - 1$ . Now we have

$$\gamma_{oidI}(T'') \leq \gamma_{oidI}(T) = \frac{n'' + 2 + 5 - \ell(T'') - 1}{2} = \frac{n'' + 5 - \ell(T'')}{2} + \frac{1}{2} \leq \gamma_{oidI}(T'') + \frac{1}{2}.$$

This implies that  $\gamma_{oidI}(T'') = \gamma_{oidI}(T)$ , a contradiction with  $\gamma_{oidI}(T'') < \gamma_{oidI}(T)$ . Thus  $\deg(x) = 2$  and so  $\ell(T'') = \ell(T)$ . Note that  $T''$  is not a star, since  $u$  is not adjacent to a leaf. Thus by the contrapositive direction of the induction hypothesis

we have  $\gamma_{oidI}(T'') \neq \frac{n''+5-\ell(T'')}{2}$ . We deduce that

$$\begin{aligned} \gamma_{oidI}(T'') &\leq \gamma_{oidI}(T) \\ &= \frac{n+5-\ell(T)}{2} \\ &= \frac{n''+2+5-\ell(T'')}{2} \\ &= \frac{n''+5-\ell(T'')}{2} + 1 \\ &< \gamma_{oidI}(T'') + 1. \end{aligned}$$

Then we obtain that  $\gamma_{oidI}(T'') = \gamma_{oidI}(T)$ , a contradiction with  $\gamma_{oidI}(T'') < \gamma_{oidI}(T)$ . This completes the proof of Claim 1.  $\diamond$

Thus  $u$  is a support vertex. Let  $v$  be a leaf adjacent to  $u$ . Let  $T' = T - v$ . According to Lemma 1 and the hypothesis,

$$\gamma_{oidI}(T') \leq \gamma_{oidI}(T) = \frac{n+5-\ell(T)}{2} = \frac{n'+1+5-(\ell(T')+1)}{2} = \frac{n'+5-\ell(T')}{2}.$$

By the first part of the theorem,  $\gamma_{oidI}(T') \geq \frac{n'+5-\ell(T')}{2}$ . Thus,  $\gamma_{oidI}(T') = \frac{n'+5-\ell(T')}{2}$ . By the induction hypothesis  $T'$  is a star. So  $u$  is the center of  $T'$ , and consequently  $T$  is a star.  $\square$

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